AMENDED AGENDA

1. Call to order  Professor Joan R. Fulton

2. Acceptance of Agenda

3. Approval of Minutes of 13 September 2010 and 7 October 2010

4. Remarks by the President  President France A. Córdova

5. Remarks of the Chairperson  Professor Joan R. Fulton

6. Résumé of Items Under Consideration  For Information
   by Various Standing Committees  Professor Morry Levy

7. Question Time

8. University Senate Document 10-3  For Discussion
   Change in Membership of Faculty Compensation  Professor David J. Williams
   and Benefits Committee

9. New Administrative Conflict of Financial Interest Policy  For Information
   Vice President Alysa Rollock

10. New Business

11. Memorial Resolutions

12. Adjournment


Guests: Jim Almond, Mikhail Atallah, Charlotte Erdmann, Valerie O’Brien, Pat Romano, Scott Seidle, Chris Sigurdson, Ken Sandel, Shara Spaa, Marissa Sura, and Teri Lucie Thompson

1. The meeting was called to order at 2:33 p.m. by Chairperson Joan R. Fulton.

2. Chairperson Joan Fulton asked for a friendly amendment to modify the agenda to allow approval of the minutes of the September Senate meeting and the recently held Special Senate meeting. Her request was approved by acclamation. The agenda was approved as amended by unanimous voice vote.

3. The minutes of the meetings of the 13 September 2010 and 7 October were approved as distributed.

4. President France A. Córdova presented remarks to the Senate (see Appendix A).

5. Professor Joan Fulton presented the report of the Chairperson (see Appendix B).
6. Professor Morris Levy presented, For Information, the Résumé of Items under Consideration by the various standing committees (see Appendix C). He asked for questions from the floor and invited the chairs of the standing committees to comment on their respective committees. Professor David Williams briefly described the issues being considered by the Faculty Affairs Committee.

7. No questions were forthcoming at Question Time.

8. Professor David Williams, chairperson of the Faculty Affairs Committee, gave a brief description of University Senate Document 10-3, Change in the Membership of the Faculty Compensation and Benefits Committee. Following this description he made a motion to suspend the rules to allow a vote to be taken during the current Senate meeting. His motion was seconded. His motion was approved by unanimous voice vote. Following the approval to suspend the rules, Professor Williams made a motion to approve the document. His motion was seconded. There was no discussion and the document was approved by unanimous voice vote.

9. Vice President for Ethics and Compliance Alysa Rollock presented, For Information, a report on the new Purdue University Conflict of Interest Policy draft (see Appendix D). Following the presentation she answered several questions from the floor. Professor Delp asked if there were examples available in the draft policy to help faculty identify potential conflicts of interest. VP Rollock assured him that the policy draft contains examples and that a Frequently Asked Questions document will also be prepared. Professor Carroll asked for clarification of some of two of the acronyms in the handouts and VP Rollock clarified those. Following up on this clarification, Professor Williams mentioned that the Glossary section of the draft contains descriptions of all of the acronyms. Professor Bodner asked if it would be clear in the policy that it applied to the entire Purdue University system and not only to the West Lafayette campus. VP Rollock said that it would be very clear to all members of the Purdue University system that the policy applies to all employees in the system. Professor Pizlo noted that one paragraph mentions that an employee could be subject to discipline for misconduct for violating any provisions of the policy up to and including dismissal. He asked if that meant an individual could be fired for failing to fill out the appropriate form. VP Rollock said that all dismissal procedures fall under the existing Censure and Dismissal Policy and that censure or dismissal for cause would not occur unless a hearing conducted by a panel of one’s peers decided such discipline was in order.

10. There was no New Business.

11. There were no Memorial Resolutions

12. Having no further business, the meeting adjourned at 3:27 p.m.
Nobel Prize Winner

Ei-ichi Negishi, Herbert C. Brown
Distinguished Professor of Chemistry

Palladium-catalyzed cross coupling
Outstanding Faculty Achievements

• R. Graham Cooks, **Henry Bohn Hass Distinguished Professor of Chemistry**

• Joseph Francisco, **William E. Moore Distinguished Professor of Earth and Atmospheric Sciences and Chemistry**, and president of the American Chemical Society

• Freydoon Shahidi, **Distinguished Professor of Mathematics**
Outstanding Faculty Achievements

• Connie Weaver, Distinguished Professor and Head of the Department of Foods and Nutrition

  – Study of calcium metabolism in teens using stable non-radioactive isotope methodology
Outstanding Staff Achievements

Order of the Griffin

Rabindra N. Mukerjea,
Executive Director of Strategic Planning and Assessment
Student Success

Record-high 89% one-year retention rate
Signal Success

“Course Signals” available nationwide
Purdue rolls out world’s first large-scale 4G LTE network
New Campaign

New Creative – Display Backdrop
Compensation and Medical Plan Updates
University Senate

October 25, 2010 • President France A. Córdova

Thank you
Good Afternoon and Thank You President Cordova for your remarks.

Thanks to all of you who attended, and encouraged your colleagues to attend the Special Senate meeting on October 7 to learn about the 2011 Purdue Medical Benefits Plan. We had a capacity crowd of 200 in the room on campus and 967 connections via the live web cast. Our objective was to provide a forum for information exchange and we were successful with that. I would also like to announce that there are 2 Senate representatives to the Blue Ribbon Health Care Committee. The representatives are Professor David Williams, Chairperson of the Faculty Affairs Committee and me. If you have questions or comments please talk to Professor Williams or me.

Last Monday I visited Fort Wayne and the IPFW Senate. I would like to thank Professor Bob Barrett for the wonderful hospitality while I was there. I am enjoying learning more about the broader Purdue system and the great work at our regional campuses. I hope that this trend of greater interaction with the regional campuses continues.

On Friday and Saturday of this past week Professors Morris Levy, Joe Camp and I attended the meeting of the Senate leadership from the CIC universities. That meeting was held at the University of Wisconsin, Madison. We left that meeting feeling very good about what we have here at Purdue. Compared to the other universities we are doing well with respect to diversity. We were interested to hear that the University of Wisconsin is considering hiring an outside consultant to examine opportunities for cost reductions in the university and they were very interested to hear about our Purdue experiences. The University of Minnesota has a campaign to communicate the value that they bring to society (and that they are more than just sports). They cut back on those expenditures with the downturn in the economy, but learning that the public perception of the university dropped they reinstated the campaign. Compliance with government regulations is an issue that is facing universities everywhere. It is becoming increasingly expensive (both in terms of money and time) to meet all of the compliance requirements, but it is the cost of doing our research, teaching and outreach. Next year Purdue will host this meeting.
TO: University Senate
FROM: Morris Levy, Chairperson, Steering Committee
SUBJECT: Résumé of Items under Consideration by the Various Standing Committees

STEERING COMMITTEE
Morris Levy, Chairperson
levy0@purdue.edu

The primary responsibility of the Steering Committee is the organization and distribution of the agenda for each meeting of the University Senate. This committee also receives communications from any faculty member or group of members and directs such communications to appropriate committees or officers for attention.

ADVISORY COMMITTEE
Joan R. Fulton, Chairperson of the Senate
fultonj@purdue.edu

The responsibility of the University Senate Advisory Committee is to advise the President and/or Board of Trustees on any matter of concern to the faculty.

NOMINATING COMMITTEE
Kathryn S. Orvis, Chairperson
Natalie J. Carroll, Vice-Chairperson
orvis@purdue.edu
ncarroll@purdue.edu

The Nominating Committee is responsible for presenting nominations for the University Senate and University committees. In filling committee vacancies the Nominating Committee seeks to have all interested Senators serve on at least one committee.

EDUCATIONAL POLICY COMMITTEE
Andrew Luescher, Chairperson
luescher@purdue.edu

1. Remedial 1-credit course for students on probation
2. Core Curriculum
3. Review of GPA requirements in early years
4. GPA requirements after readmission
5. Transfer credit
6. Advanced Placement Transfer Credit
7. Student census for financial aid purposes
8. Introduction of an additional F-grade (F earned vs. F due to not finishing a course)
9. Policy on course syllabi
10. Classroom decorum

FACULTY AFFAIRS COMMITTEE
David J. Williams, Chairperson
djw@purdue.edu

1. Resolution for faculty survey at Purdue for spring 2011 to Informetrics Committee
2. Review of Conflicts of Commitments and Outside Activities Policy Draft
3. Senate Document 10-3, change in membership of FCBC

STUDENT AFFAIRS COMMITTEE
Thomas J. Templin, Chairperson
templin@purdue.edu

1. Review of the Student Bill of Rights

UNIVERSITY RESOURCES POLICY COMMITTEE
A. Paul Schwab, Chairperson
pschwab@purdue.edu

1. Review fiscal policies and aid in generating budget transparency and economy
2. Review of campus energy sufficiency, safety, and other Physical Facilities operations
3. Enhancing graduate education and research opportunities
4. Review of faculty committees
Chair of the Senate, Joan R. Fulton, fultonj@purdue.edu
Vice Chair of the Senate, Morris Levy, levy0@purdue.edu
Secretary of the Senate, Joseph W. Camp, Jr., jcamp@purdue.edu
University Senate Minutes; http://www.purdue.edu/faculty
## CALENDAR OF STATUS OF LEGISLATION

<table>
<thead>
<tr>
<th>SENATE DOCUMENT</th>
<th>TITLE</th>
<th>ORIGIN</th>
<th>SENATE</th>
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<tr>
<td>10-1*</td>
<td>Nominees for University Senate Standing Committees</td>
<td>Senate Nominating Committee</td>
<td>*Approved 13 September 2010</td>
</tr>
<tr>
<td>10-2*</td>
<td>Nominees for the University Censure &amp; Dismissal Committee</td>
<td>Senate Nominating Committee</td>
<td>*Approved 13 September 2010</td>
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<td>10-3*</td>
<td>Change in the Membership of the Faculty Compensation and Benefits Committee</td>
<td>Faculty Affairs Committee</td>
<td>*Approved 25 October 2010</td>
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*Approved
TO: The University Senate
FROM: Faculty Affairs Committee
SUBJECT: Change in Membership of Faculty Compensation and Benefits Committee
DISPOSITION: University Senate for Discussion
REFERENCES: University Senate Document 91-6, as approved 23 March 1992

Based on the addition of a voting member of the Purdue University Senate from IPFW to the Faculty Compensation and Benefits Committee, and the representation of APSAC and CSSAC on the FCBC, a change is requested in the current membership requirements of the FCBC to grant voting privileges to the new member from the regional campus, and recognition of the liaisons from APSAC and CSSAC.

CURRENT

A. Membership

Eight members: five faculty members will constitute the voting membership, one liaison member to represent the Faculty Affairs Committee (FAC), one liaison member to represent faculty retirees, and one liaison member to represent the Staff Benefits Office.

PROPOSED

A. Membership

Eleven members: six faculty members will constitute the voting membership with one member to represent the regional campuses, one liaison member to represent the Faculty Affairs Committee (FAC), one liaison member to represent faculty retirees, one APSAC liaison, one CSSAC liaison, and one liaison member to represent the Staff Benefits Office.

Approving:
W. Aref
A. Beck
S. Bolton
J. Duzinkiewicz
P. Hirst
Z. Pizlo
C. Sullivan
B. Useem
D. Williams
Y. Yih

Absent:
N. Edwards
E. Taparowsky
W. Zinsmeister
Draft Policy on Individual Financial Conflicts of Interest

Alysa Christmas Rollock
Presentation to the Purdue University Senate
October 25, 2010
A Brief History

- Review of Executive Memorandum C-39 began several years ago
- Process for approving and updating policies adopted in October 2009
  - Executive Policy Review Group
  - University Policy Committee
  - Stakeholder feedback part of process
- Working Group formed in May, 2010
- Working Group met over summer
- Draft presented to Faculty Affairs Committee at its August meeting; endorsed.
Members of the Working Group

- Alan M. Beck, Faculty, Veterinary Medicine
- Peter E. Dunn, Associate Vice President for Research
- Nancy E. Edwards, Faculty, Nursing
- Cheryl A. Files, CSSAC Representative
- Angela L. Paxton, APSAC Representative
- A. Charlene Sullivan, Faculty, Management
- David J. Williams, Chair, Faculty Affairs Committee, Veterinary Medicine
- Jessica E. Teets, University Policy Office
- Alysa Christmas Rollock, VPEC
Reasons for Policy

- Commitment to the University’s basic values:
  - Academic and scholarly integrity
  - Integrity of business policy and procedure
  - Safe and ethical research
- Candor and integrity in relationships with University
- Compliance with state law (IC 35-44-1-3)
- Satisfy federal regulations
- Protect researchers and other employees from misplaced charges of financial conflicts of interest
Indiana Code 35-44-1-3

- An Employee who knowingly or intentionally has a monetary interest in or profits from a University contract or purchase may be charged with conflict of interest, a felony punishable by fine and imprisonment, unless the Employee has made the required disclosure in advance of the contract or purchase, and the disclosure has been approved in advance by the Board of Trustees and submitted to the Indiana State Board of Accounts.
Federal Regulations

• Since 1995, require identification of financial interests as a condition to apply for or receive grants funded by Public Health Services and NSF in order to preserve objectivity in research
• University must have infrastructure to meet its obligations in connection with receipt of grant funds
• Heightened concerns regarding biomedical research have prompted NIH to propose revised regulations, that if adopted, impose more specific disclosure requirements and lower the threshold for reporting
Conflict of Interest Committee

- COI Officer as chairperson (ex officio)
- Responsible Official (ex officio)
- The VPEC (ex officio)
- Two faculty representatives from the West Lafayette campus, as recommended by the Faculty Affairs Committee of the University Senate
- One faculty representative from each of the regional campuses, as recommended by the University Senate of the corresponding campus
- One representative from the Administrative/Professional Staff Advisory Committee
- One representative from the Clerical/Service Staff Advisory Committee
- One representative from units reporting to the EVPT
- One representative from units reporting to the Provost
- One representative from each regional campus, as recommended by its Chancellor
- One representative for all other areas reporting directly to the President (one person total)
- Any additional members jointly appointed by the Provost, EVPT and VPEC
Conflict of Interest Committee (cont.)

- Three-year terms, one third to expire each year
- Review and comment on University procedures (including design of disclosure forms and questionnaires for gathering information)
- Review and comment on the design of management plans for real or potential Conflicts of Interest and on procedures for monitoring these management plans
- Advise the COI Officer and Responsible Official as requested
- Receive and evaluate appeals from Employees and Investigators
Procedures

• Address each of the ways in which a potential financial conflict of interest may arise
• Policy mirrors current practices and would extend disclosures of financial interests to all research activities regardless of source of funding because objectivity in research is critically important value to the University
• Flowcharts developed to assist in understanding of process (see handouts)
QUESTIONS?
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