

— PURDUE UNIVERSITY—
Significant Financial Interest Disclosure Form

NOTE: This form is specifically designed for **External Investigators** on Purdue sponsored projects to allow disclosure of Significant Financial Interests (SFI). Internal Purdue Investigators are required to submit SFI disclosures using the Proposal Driven Disclosure online application at <https://webapps.ecn.purdue.edu/VPR/PDD>. Please complete this Disclosure Form to describe a "Yes" answer on your SFI Statement related to a research proposal submitted to an external sponsor and provide information about the specific entity and your relationship to the entity. A separate Disclosure Form is required for each entity in/with which you have an SFI related to your sponsored projects at Purdue University.

Investigator Name _____

Home Institution/Company/Organization: _____

Entity Information

Name of the Entity (Company/Organization) **in which you have a Significant Financial Interest** (i.e. you, your spouse, or dependent children, combined, are receiving or will receive remuneration that exceeds \$5,000 annually, and/or have an Equity/Ownership Interest):

Type of entity (please check the appropriate radio button):

Publicly-traded entity

Non-publicly traded entity

Educational Institution or Local, State, or Federal Government Agency

Please describe the business of this entity:

A. Remuneration

Please indicate the expected aggregate amount of annual remuneration you will receive in the next twelve months (remuneration includes salary and any payment for services not otherwise identified as salary, e.g., consulting fees, honoraria, paid authorship, travel reimbursement; use a best estimate approach based on your consulting/employment agreement and/or remuneration received from the above entity in the last 12 months):

\$ _____.

What is the nature of the relationship/service for which you, your spouse, or dependent children will receive compensation? Please describe your role or ownership interest in the organization, including any applicable titles (President, CEO, CFO, CSO, other - please indicate).

Does the disclosed interest include royalties and/or licensing fees? If so, please describe the intellectual property to which the payments are related and how **it is**, or **is not**, related to your PU research and sponsored program work.

— PURDUE UNIVERSITY—
Significant Financial Interest Disclosure Form

B. Equity/Ownership Interest

Please indicate the aggregate fair market value of equity/ownership interest (equity/ownership interest includes any stock, stock option, or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value) and percentage of ownership interest by checking the appropriate box/boxes:

- None
- More than \$5,000 aggregate fair market value annually.
- 5% or more ownership interest/capital stock.
- Any interest > 0 % if the entity is a non-publicly traded entity.

C. Entity-sponsored research

Is this entity sponsoring research/testing at Purdue University (including regional campuses Purdue University at Calumet, Indiana-Purdue Fort Wayne, and Purdue North Central)?

Yes No

If "YES" is selected, please describe the work performed under these entity-sponsored projects:

D. Subcontracts to entity

Is Purdue University (including regional campuses Purdue University at Calumet, Indiana-Purdue Fort Wayne, and Purdue North Central) subcontracting for research/services to this entity?

Yes No

If "YES" is selected, please describe the work performed under these entity-sponsored projects:

E. Please check all that apply:

My PU research/sponsored program work is funded by the Department of Health and Human Sciences (DHHS) and/or the National Science Foundation (NSF).

My PU research/sponsored program work involves human subjects.

My PU research/sponsored program work involves animal subjects.

Products and/or services made/delivered by the above noted entity in which I have a financial interest are being used in my research/sponsored program work.

— PURDUE UNIVERSITY—
Significant Financial Interest Disclosure Form

F. Relationship(s) between your Purdue research program and the business scope of the entity

Please describe how your disclosed external relationship with the above noted entity does, or does not, relate to your PU research/sponsored program work:

Investigator Signature: _____ Date: _____

Printed name: _____