Finding Funding, Limited Submissions and Proposal Submission

September 9, 2021

About Us

Sue Grimes, Research Development Services (EVPRP)

- Federal and Other Research-related Funding Opportunities
- Limited Submissions

Andrew Weintraut, Foundation Relations (Purdue for Life Foundation)

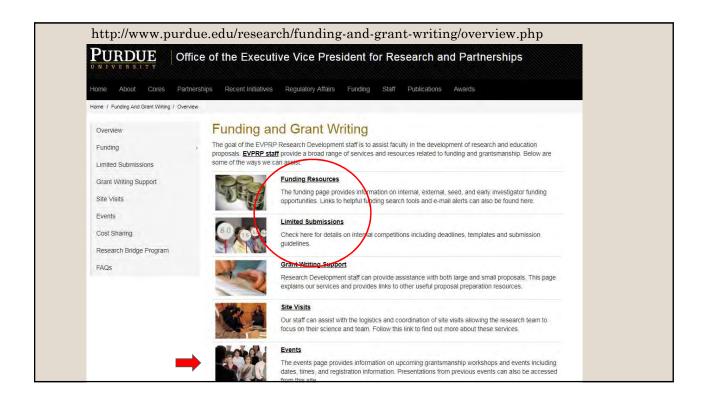
- Private Foundation Funding

Nathan Utz, Industry Partnerships

- Corporate Funding

Amanda Hamaker, Sponsored Program Services - Pre-Award

- Proposal Budgets
- Proposal Submission



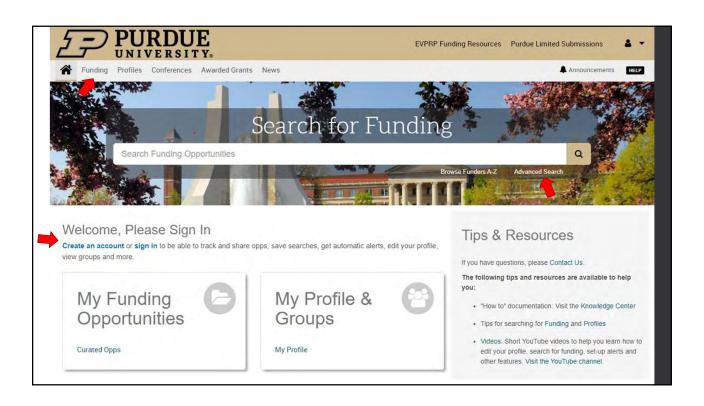
Funding Resources

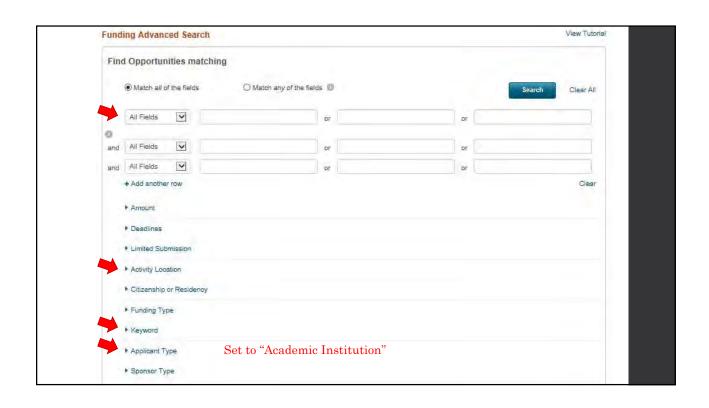
- Search Tools and Alerts
 - · Pivot database
 - Federal, State, and foundation search tools and e-mail alerts
- Weekly Funding E-Newsletters
 - · Sign up to receive these directly to your inbox!
- EVPRP-managed Internal Funding
- Specialized Funding Resources
 - New Investigator Funding Opportunities

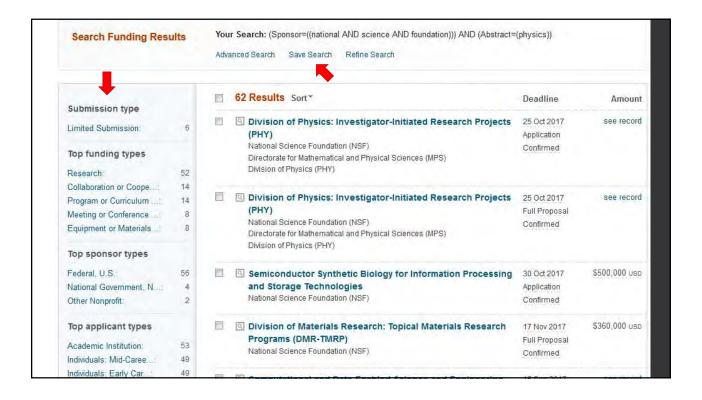
Why Use Pivot?

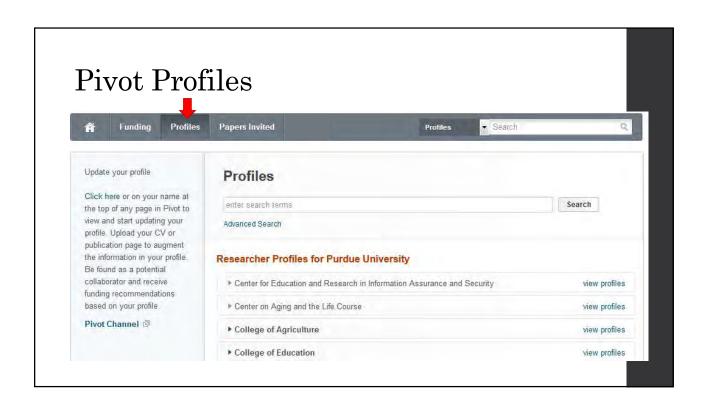


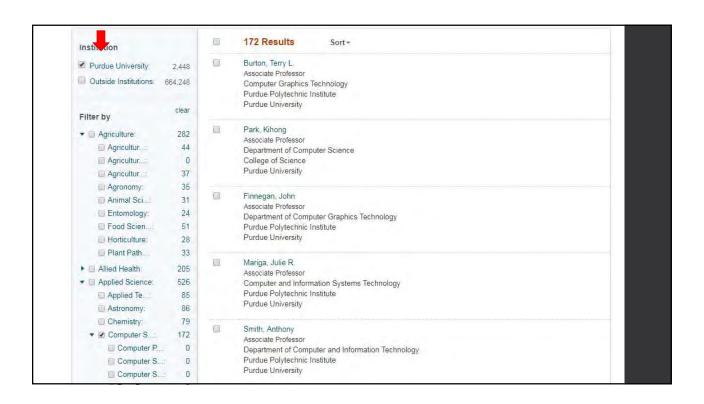
- https://pivot.proquest.com
- Must be on purdue.edu domain computer to set up account or can use your Purdue credentials (shibboleth)
- · Create and save customized funding search criteria
 - · Receive weekly emails of new funding opportunities
- Track funding opportunities
- Create on-line profile for collaboration
 - Can automatically update if linked to your homepage, ORCID or ISNI account, etc.

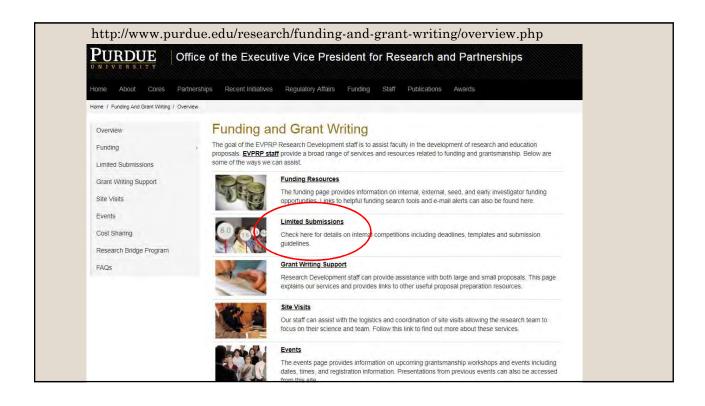






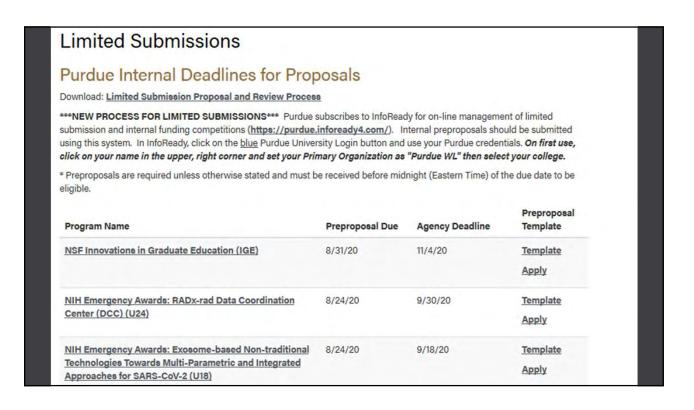


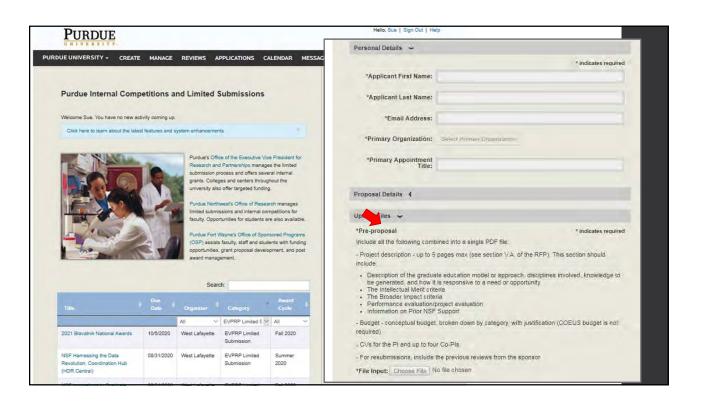




Limited Submissions

- Sponsor limits number of proposals from an institution
- Internal competition process
 - Preproposal deadline mini-version of the proposal
 - Rankings/Meeting review committee discusses and recommends proposal(s) to move forward
 - · Competitions managed through InfoReady Review
- List of open limited submissions at:
 http://www.purdue.edu/research/funding-and-grant-writing/limited-submissions.php
- Interested in a limited submission that's not on our list? Contact evprplimited@purdue.edu ASAP





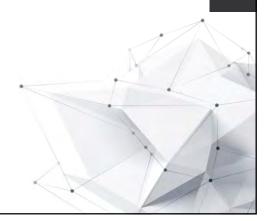
For Questions or Assistance

- · Sue Grimes
- sgrimes@purdue.edu
- 49-45858

Office of Foundation Relations **Purdue for Life Foundation**

- · Drew Weintraut
- Executive Director of Foundation Relations
- New Faculty Orientation





Foundation Relations

- <u>Drew Weintraut Exec. Director</u>
 - University Initiatives
 - Presidential
 - Provost
 - EVPRP
 - PPI (including High School)
 - Honors
- Laura Henzl Sr. Director
 - Engineering
 - Agriculture
 - Cancer Research Center
- Jim Priest Director
 - HHS
 - Science
 - Pharmacy



Office of Foundation Relations

Martha Weise –Director

- Liberal Arts
- Education
- Libraries
- Krannert

Kristen Noel – Assoc. Director

- Proposal Development Specialist
- Stewardship
- Editor
- Communications/Proposal Training

Ashlee Diener – Operations Coordinator

- Proposal / Pipeline Management
- Administration/Communication/Editing
- Special Interest Tracking and Reporting

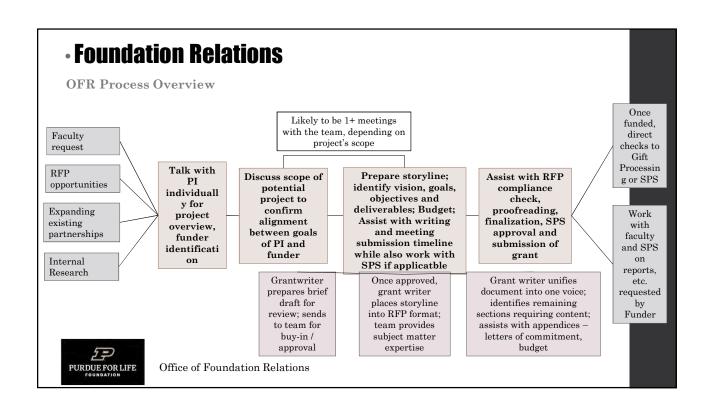
Foundation Relations

The Office of Foundation Relations has extensive experience working with foundations with a wide range of interests.

The OFR team specializes in:

- · Connecting foundations with the relevant faculty, staff, and programs.
- Assisting with development of proposals, gift agreements, and any other relevant documents.
- · Overseeing reporting on gifts and grants, as well as expedite answers to any questions.
- · Organizing conference calls and campus visits with members of our campus community.
- Providing and reviewing valuable research information, networking opportunities, and data about our foundation partners, Purdue and our community.





· Foundation Relations

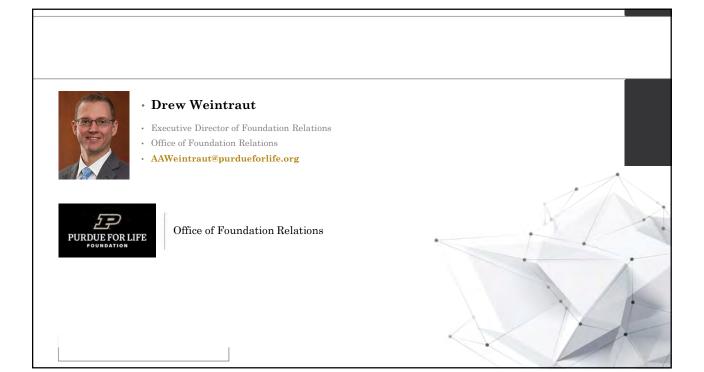
- Mission driven work
- Concrete deadlines and cycles
- Clearly defined areas of interest; impact for specific regions
- Strict guidelines
- Additional documentation
- Campus Visits



· Foundation Relations

- Foundations exist to give away money to help society.
 If you have a project that fits with a foundation's guidelines, you are helping them achieve their mission.
- But ... Everyone else knows this, as well!





OFFICE OF INDUSTRY PARTNERSHIPS

Nathan Utz Vice President

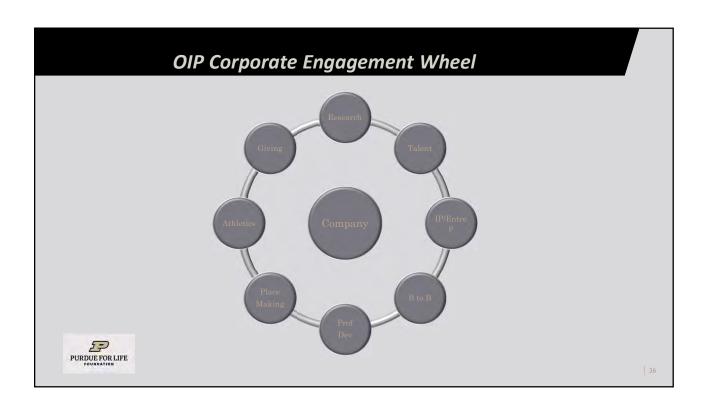
September 2021

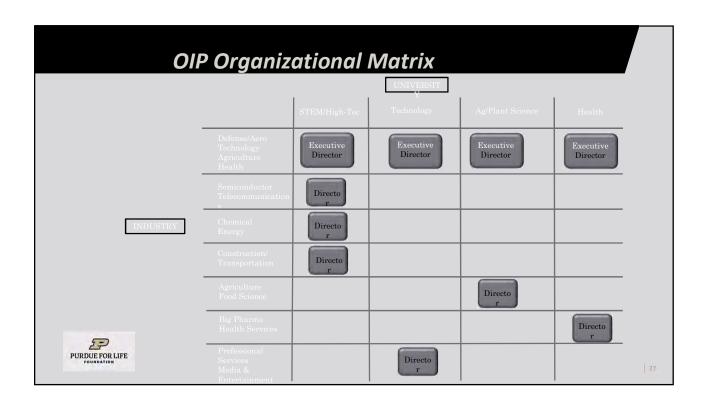
OIP Mission

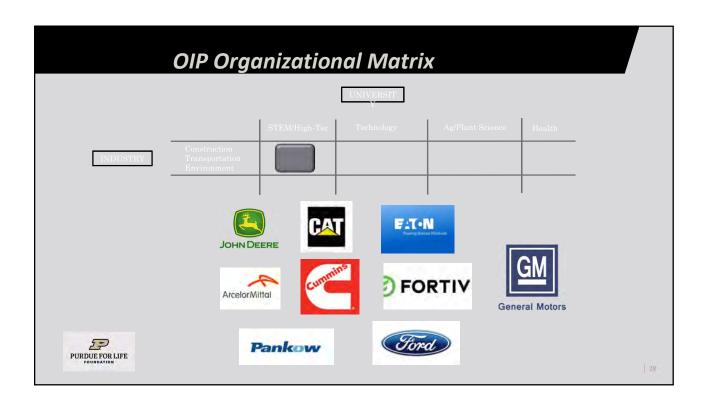
Advance the mission of Purdue University through holistic corporate engagement

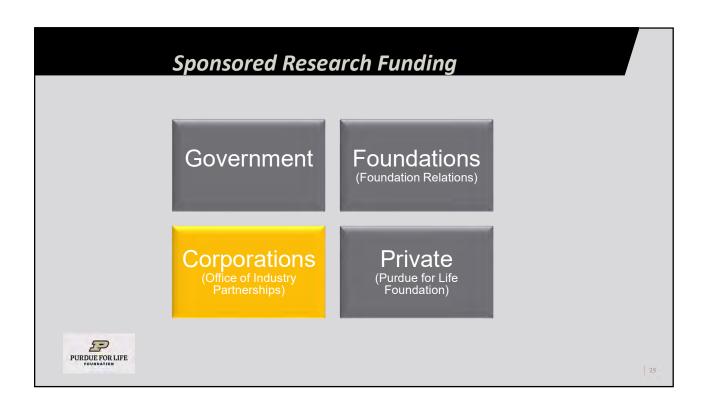






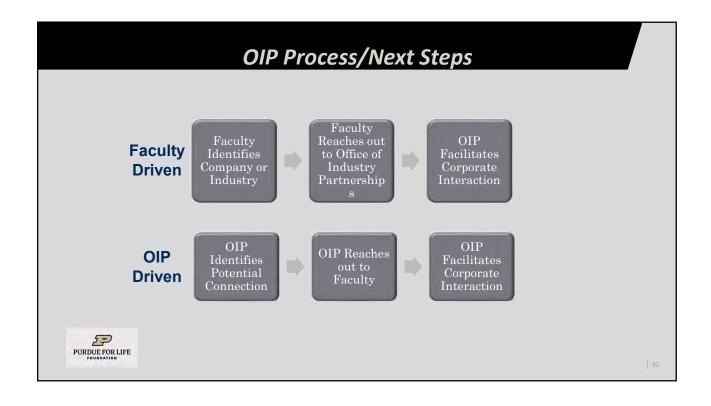




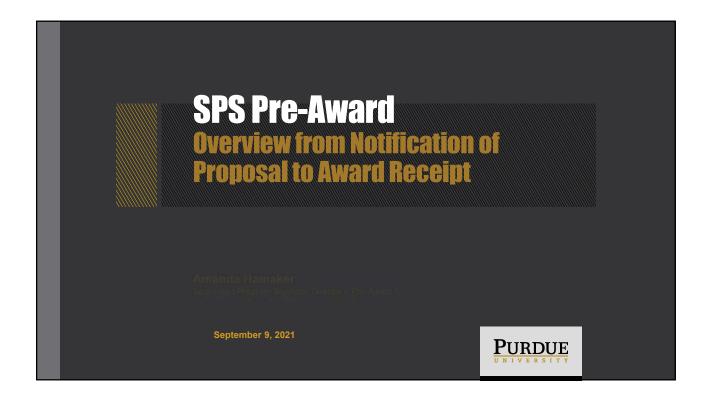




Industry Services Level 3 Level 2 Level 1 **Initial Meetings Entertain at University** Pick-up/Drop off at events airport Organize Research Organize dinners with Assist with preferred Mtgs faculty and students seating tickets Coordinate with on-Organize meetings with Facilitate engagement campus partners on Advisory Council University leadership **Speaking Engagements** Assist with general Locker room tours, Set full visit agenda and tickets other special events chauffeur Facilitate engagement Reserve temporary **Campus Tours** on industry boards office space Hotel reservations Develop and execute stewardship plan Level 2 service provided Level 3 service is for top Level 1 service provided for companies who have PURDUE FOR LIFE for and company who corporate partners only contact CR been 'qualified'







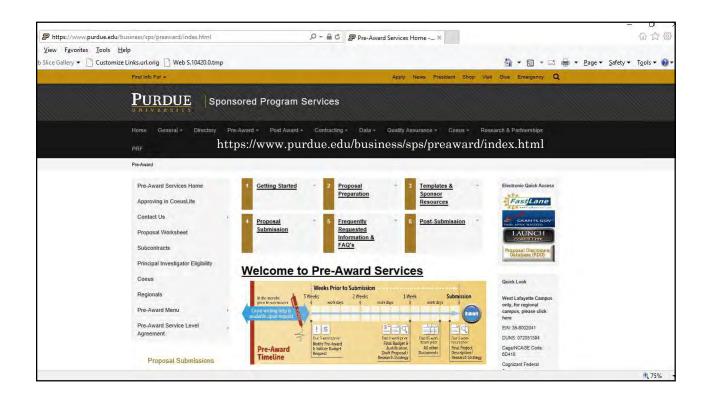
PRE-AWARD SERVICES

What services does Pre-Award provide?

Pre-Award Services at Purdue is dedicated to supporting the proposal development activities for all faculty or investigators. We will partner with Principal Investigators in proposal preparation, serving as a key resource in all matters related to University policies for proposal development and submission. Our goal is to meet or exceed faculty expectations for all

We are here to help!





PRE-AWARD CENTERS

Email Addresses

- Pre-Award Centers: proposal@purdue.edu
 - College of Agriculture: agpreaward@purdue.edu
 - College of Engineering: <u>coepreaward@purdue.edu</u>
 - College of Health and Human Sciences: chhspreaward@purdue.edu
 - College of Pharmacy: coppreaward@purdue.edu
 - College of Science: cospreaward@purdue.edu
 - College of Veterinary Medicine: vetpreaward@purdue.edu
 - College of Education, College of Liberal Arts, Krannert School of Management, and Polytechnic Institute: centralpreaward@purdue.edu

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PREAWARD SERVICES

Support for proposal development activities for all faculty

Services include, but are not limited to:

- · Review sponsor guidelines, identify key requirements
- Assist with budget preparation, related documentation and proposal submission forms
- Prepare required sponsor administrative forms
- Ensure that all Purdue information included within the proposal is accurate and complete
- Contact and collaborate with partner institutions to secure all necessary subcontract documentation
- Assure all regulatory requirements and export control issues are identified
- Review the final proposal package to ensure all administrative requirements have been met
- Obtain academic approvals and provide institutional approval for the proposal
- * Complete the final submission package, upload final document forms, and submit to the sponsor

GUIDANCE - Proposal Deadline



- Initial Notification/Initial Budget RequesFinal Documents for Submission
 3 weeks (15 Business Days) in Advance
 2 Business Days (16 hours) in Advance
- 2. Final Budget, Justification, and Draft Ard AL SOW/Project Descr./Res. Strategy
 1 week (5 Business Days) in Advance 1 Business Day (8 hours) in Advance

Sponsor Deadlines Outside the Business Day (8:00 a.m.-5:00 p.m.): In the cases where proposals are due outside this timeframe, 5:00 p.m. EST of the day of the deadline should be considered the official submission deadline when calculating the on-time criteria.

Initial Notification, Budget Request

- Get Started Early A completed proposal worksheet should be submitted fifteen (15) business days prior to the proposal deadline along with the initial budget request as specified in the table above.
- The proposal worksheet serves as notification of the intent to submit the proposal and communicates key information related to the submission

https://www.purdue.edu/sps/proposalworksheet/

- Earlier notification is highly encouraged.
- If the proposal requires cost sharing please also contact the appropriate Department Head/ADR to initiate discussions.

 PURDUE

<u>Proposal Process</u>

First Step - Proposal Worksheet

- PI completes the proposal worksheet in the Proposal Information Portal
 - Addresses key information needed to develop the proposal
 - Answers questions related to compliance (human subjects, animals, export control, etc)
 - Kicks off the Financial Conflict of Interest (FCOI) process – identifies investigators

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PRE-AWARD SERVICES—Proposal Information Portal Æ Edit Æ View Æ Admin Save A Submit to Primary Pt A Submit to Pre-Award 2 Duplicate 1 Delete Save when switching sections Need Help! 2. Research Compliance 3. Project Details 4. Proposal Credit and FCOI Proposal Information Primary Principal Investigator famaker, Amanda (ahamaker@purdue.edu) Make sure you receive Proposal Title a confirmation email!! What entity is this proposal being submitted to? if the primary source of funding is different from the entity you are submitting to, please indicate the funding source: Q **PURDUE** https://www.purdue.edu/sps/proposalworksheet/

Projects Progess Next Step - Pl & Pre-Award will Review RFP

- Check for eligibility, limits for submission
- Review for special terms and conditions
- Identify possible management concerns
- Review for deadline, submission method
- Identify required documents, page limits
- Identify budget considerations
 - Minimums/maximums
 - Unallowable costs
 - Required budget items
 - Cost Share Requirement
 - F&A Restrictions

PURDUE

- Proposal must be properly costed:
 - Proposed budget should be sufficient to carry out all program deliverables
 - Ensure consistent proposal budgeting
- Include college/department required budget items (AY salary, grad rates, etc)
- Ensure appropriate calculation of summer salary, grad fee remissions, NIH salary cap, subcontracts
- Cost Sharing
- Identify the proper F&A rate

PURDUE

Pronosal Process

Facilities & Administration (F&A)

- West Lafayette F&A Rates
 - Research = 55%
 - Other Sponsored Programs (OSP) = 38%
 - Off Campus = 26%
 - Applied Research (Special Intellectual Property Terms) = 64.75%
- Other terms used to describe F&A
 - Indirect Costs, IDC, or overhead
- Criteria for off campus rate:
 - Activity necessitates an off campus location, is for a full semester or summer session, and in a project leased space

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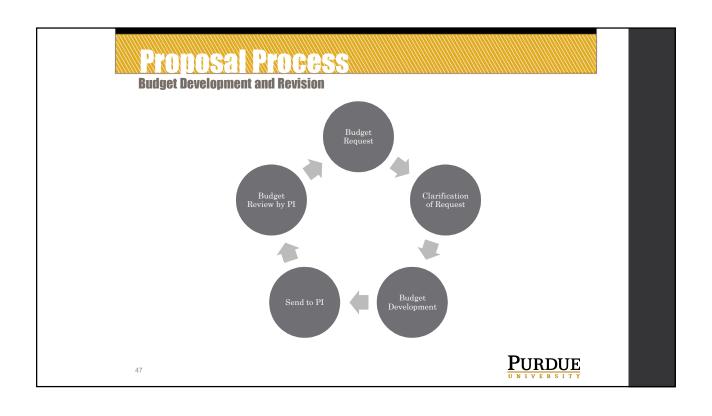
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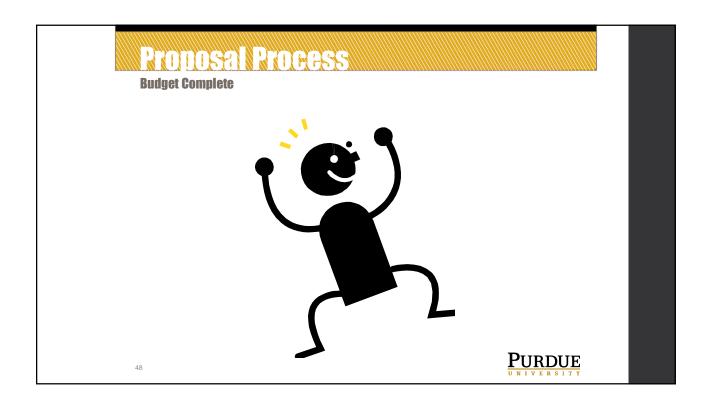
Under Review

Pronosal Process

Facilities e Administration (Fe A)

- When will Purdue accept limitations on F&A?
 - Accepted when limited in published guidelines by Notfor-Profits and Foundations
 - Mission/goals of these types of organizations are to benefit the public well being as a whole
 - Industrial proposals less than or equal to \$10K*
 - *When considered seed funding
- Why can't Purdue accept limitation on F&A for For-profit entities?
 - F&A costs are REAL costs to the University
- If granted, PU would be subsidizing a For-Profit entity





COST SHARING

Cost Share Basics

- Cost Share or match means the amount the university or a third party commits as part of the overall project costs
- Can be required by sponsor or not required
- PI is responsible for identifying sources to meet the cost share commitment (EVPRP assistance may be available)
- Pre-Award will help prepare the commitment documentation

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PURDUE

Proposal Process

Budget Justification

- PI is responsible for writing the budget justification
 - Explain why each budget item is necessary to complete the work proposed
- Pre-Award Support/Review:
 - Provide a template if requested
 - Verify all information is correct
 - Ensure adequate justification included for all budget items such as equipment, foreign travel, participant support costs, conferences, etc.
 - Ensure cost share has not been included unless approved and documented

 PURDUE

<u>Proposal Process</u>

Complete Sponsor Forms – Pre-Award Support

- Pre-Award will finalize the sponsor submission forms
 - Input all administrative information
 - Create the sponsor budget pages
 - Collect all required documents (bio-sketches, support letters, tax documents, etc.)
 - <u>DRAFT</u> Current & Pending for investigator edits and approval **Important to review instructions/sponsor requirements**
 - Review all provided documents for administrative compliance with the proposal requirements (page limits, specific titling, section headings, etc.)

 PURDUE

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Proposal Process

Final Step- Obtain All Approvals (Pre-Award)

- Work with contracting office for terms and conditions if applicable
- · Assure all regulatory requirements are identified
- · Review for export control applicability
- Secure academic approvals for the proposal
- · Provide institutional approval
- SUBMIT PROPOSAL**

**certain individuals in Pre-Award have been delegated the authority to submit proposals on behalf of the University PURDUE

PRE-AWARD SERVICES - Coeus



Post Submission

Proposal Follow-Up – Just-In-Time (JIT) Requests (Pre-Award Support)

- Assist with responses to JIT (or other additional info) requests
 - Revise budgets and clarify cost items
 - Gather additional documents as requested
 - Ensure regulatory approvals obtained
 - Coordinate between the sponsor and the research team
 - Involve contracting office as needed
 - Submit official responses to the sponsor

PURDUE

NDUSTRIAL PROPOSALS

SPS Contracting

Negotiate and process all contracts associated with sponsored

Service include, but are not limited to:

- Answer Questions on University contracts and contract negotiation
- Understand Intellectual Property and its impact on Pre-Award **Budgets and University Agreements**
- Developing Contract Agreements Including:
 - Grants from federal and state governments
 - Research contracts with industrial sponsors
 - Confidentiality agreements (nondisclosure, proprietary, and confidentiality agreements), Material transfer agreements, International collaboration agreements
 - Subcontracts
- Review and process all contract Amendments and Terminations
- Answer questions regarding Contract Compliance
- Work with partnering institutions to secure all necessary contract documentation
- Collaborate with other university staff as appropriate PURDUE



NDUSTRIAL PROPOSALS

Key Information to Remember:

- SPS Contracting
 - https://www.purdue.edu/business/sps/contractmgmt
 - Service Level Agreement
 - Expected Turnaround Times
- Faculty do not have signature authority to sign NDAs, research agreements, etc. on behalf of the University.
- If you have any questions or documents that need a contractual review/signature, please send to PURDUE
- spscontr@purdue.edu.

Contacting Pre-Award for Help

- E-mail or Phone
 - To Center Address (see handout)
 - To Specific Specialist (see handout consider availability risk)
 - Include as much information as possible
- TIMING Contact as early as possible (as soon as you even think about doing a proposal). It is never too early! Do not wait until you are ready to submit!

**All of SPS is located remotely. On campus meetings can be arranged.

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PURDUE

Pre-Award Faculty Orientations

One-on-One Sessions

- Available upon request
- Designed to introduce new Purdue researchers to the services offered by Pre-Award
- Familiarizes researchers with proposal development and submission process
- Can be focused on specific sponsors and topics relevant to the researcher
 - Structure of RFP
 - Noteworthy Sponsor Requirements
 - System Access

Provides information related to the following topics:

- Overview of the roles of Pre-Award, Post Award, and Contracting
- Brief discussion of business office and EVPRP roles related to proposal submissions and future awards
- Letters of intent and preliminary proposals
- Timelines for proposal preparation
- Proposal Worksheet, introduction
- Budget development process
- Subcontracts
- Current and pending support
- Cost share
- Regulatory
- **FCOI**
- Coeus approvals
- Who can sign a proposal
- What to expect post-submission Contact Pre-Award to Schedule a
- · Contact your Center or