

Summary of Research Related Financial Conflict of Interest (FCOI) Forms/Acronyms/Definitions

FCOI Forms and Documents	Acronym	Who files	When to file	What is Approved (A) Disclosed (D)	Where do I find the Form
Reportable Outside Activity	ROA	Employees who engage in outside activities	Once a year (June or any time thereafter)	(A) Time commitment towards outside activity	Reportable Outside Activity Form (Electronic Format)
Financial Interest Statement (a YES/NO statement)	FIS	Every Investigator ¹ on a sponsored project	At proposal submission and during the award period	(D) Significant Financial Interests ²	Link to FIS provided in email messages sent to Investigators by the Proposal Driven Disclosure (PDD) online application
Research Related Significant Financial Interest Disclosure	RRSFID	Investigators with YES FIS	Once a year (July)	(D) Details of SFI	https://webapps.ecn.purdue.edu/VPR/PDD (use the My Disclosures tab)
Financial Conflict of Interest ³ Management Plan	FCOI MP (MP)	Office of the Vice President of Research (OVPR)	When an FCOI is identified	(A) FCOI Management	MP drafted by OVPR and sent to Investigator and Oversight Manager/Department Head
Annual Report of Compliance with the MP	AR	The Oversight Manager	Once a year, before the end of June	OVPR approves compliance with MP	OVPR sends AR packages to Investigators and Oversight Managers in May
Conflict of Interest Disclosure Statement	COIDS	Employees with FIs in university procurement of goods/services	Once a year or per transaction (June or any time thereafter)	Board of Trustees approves disclosure	Conflict of Interest Disclosure Statement (PDF)

Summary of Research Related Financial Conflict of Interest (FCOI) Forms/Acronyms/Definitions

Definitions

- ¹**Investigator** – a person responsible for the design, conduct, and/or reporting of research.
- ²**Financial Conflict of Interest (FCOI) in research** – a situation in which financial interests of Investigators (and/or their family members) may bias, or have the appearance of biasing the design, conduct, or reporting of research.
- ³**Significant Financial Interest (SFI)**
 - Remuneration > \$5,000 (from consulting, board membership, etc.)
 - Ownership interest (> 0% in a non-publicly traded company; > 5% in a publicly traded company)
 - Intellectual property (IP) and royalty income from IP owned outside of Purdue/PRF.

Other acronyms:

- FI – Financial Interest
- OVPR – Office of the Vice President for Research
- PDD – The Proposal Driven Disclosure online application (manages the collection of proposal based FIS and respective RRSFIDs).