

**Written & Oral Preliminary Examination/Oral Proposal Presentation Rubric Evaluation
for Ph.D. Candidacy**

Candidate Name: _____

Date: _____

5

Examination Iteration: 1 2 (circle correct number)

Proposed Dissertation Title: _____

| Evaluation/Guidance | | Needs Significant Improvement | Needs Improvement | Acceptable | Very Good | Excellent |
|---|-----|--|------------------------------|-------------------|------------------|------------------|
| 1. Problem Definition: States the research problem clearly, providing motivation for | | | | | | |
| 2. Literature and Previous Work: Demonstrates sound knowledge of literature in the area, and of prior work on the specific research problem | | | | | | |
| 3. Impact of Proposed Research: Demonstrates the potential value of solution to the research problem in advancing knowledge within the area of study | | | | | | |
| 4. Solution Plan: Provides a sound plan for applying state-of-the-field research methods/tools to solving the defined problem and shows a good understanding of how to use methods/tools effectively | | | | | | |
| 5. Expected Results: Provides a sound plan for analyzing and interpreting research results/data | | | | | | |
| 6. Quality of Written and Oral Communication: Communicates research proposal clearly and professionally in both (a) written form and (b) oral form | (a) | | | | | |
| | (b) | | | | | |
| 7. Critical Thinking: Demonstrates capability for independent research in the area of study, preparedness in core disciplines relevant to research, and ability to complete the proposed research | | | | | | |
| 8. Broader Impact: Demonstrates awareness of broader implications of the proposed research. Broader implications may include social, economic, technical, ethical, business, etc. aspects. | | | | | | |
| 9. Breadth: Demonstrates a basic knowledge reflective of the ESE required cores and how they connect with the specific research area. | | | | | | |

Overall Assessment: The assessment of the overall performance of the candidate based on the evidence provided in items 1 – 9 above.

| CRITERIA | PERFORMANCE RATINGS | | | | |
|---------------------------------------|-------------------------------------|-------------------------|------------|-----------|-----------|
| | Does NOT PASS | Passes PRELIMINARY Exam | | | |
| OVERALL, my rating of the PERFORMANCE | Needs Significant Improvement | Needs Improvement | Acceptable | Very Good | Excellent |
| | | | | | |

Name of the Examining Committee Member: _____

Signature of the Examining Committee Member: _____

Please report additional comments on the backside of this page.