Hot Topics in Research Administration Program

Research Compliance at Purdue

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Panel:
Randy Bryant
Mike Szczepanski
Tom Wright
RESEARCH QUALITY ASSURANCE

WHO WE ARE,

WHAT WE DO,
We are ..... 

A unit in the Office of the Vice President for Research 

Specifically within the Research Integrity / Regulatory / QA area 

Vice President for Research 
Richard Buckius 

Research Development 
Research Integrity / Regulatory / Quality Assurance 
Discovery Park 
Industry Research & Technology Program 
Research Communications
We are on the web at

http://www.purdue.edu/research/vpr/

The Research Quality Assurance Unit (RQAU) reviews and monitors Purdue’s research administration and research regulatory compliance practices and provides leadership when conducting comprehensive reviews of the University’s compliance activities. The RQAU staff will coordinate education and training of Principal Investigators, project staff, and departmental and business office staff regarding compliance with federal and state regulation and Purdue policies relating to research.

The RQAU does not assume the duties of the operational regulatory or business areas, and all compliance and sponsored program support activities will exist in their respective reporting structures. The RQAU’s role is to monitor these efforts and assess University-wide performance.

RQAU services include:

- Financial Management Reviews
- Research Regulation Reviews
- Recommend improved procedures
- Development of best practice training material
- Provide training to researchers, research administrators, and business offices at Purdue
- Coordinate the response to audits
Services we provide

- Financial Management Reviews
- Research Regulation Reviews
- Recommend improvements to procedures
- Assist with implementation of recommendations
- Develop best practice training material
- Provide policy training, training materials, etc.
- Coordinate the response to audits
Financial Grant Mgmt Reviews

- Administrative & Clerical Expense
- Computer Purchases
- Cost Transfers
- Overload Pay on SPS accounts
- Vacation Payout
Research Regulatory Reviews

- Animal Exposure Occupational Health Program
- Central Animal Ordering Process
- Human Subjects – Post Approval Monitoring
- Technical Reporting Requirements
Liaison with auditors

- **Project Closeout Audits**
- **Annual A-133 Audit**
- **Reviews during the life of the project**
Who we interact with...

Research Integrity & Regulatory Affairs
Howie Zelaznik

Animal Care & Use (LAP)
Lisa Snider

Human Research Protection Program
Elizabeth Campbell

Research Regulatory Compliance
Cookie Bryant-Gawthrop
Who we interact with...

- **Sponsored Programs**
  - Mike Ludwig
- **Pre-Award**
  - Amanda Hamaker
- **Post-Award**
  - Jessica Lawrence
- **Ag Field Office**
  - Beth Siple
- **Tech & Admin Support**
  - Stephanie Willis
Who we interact with...

- Faculty/PI's
- Center Directors
- Researchers

- Research support staff
- Managing Director
- Operations Mgrs

- Business Office staff
- Bus Mgr Liaison
- Dept B.O. staff
- DP Business Ofc
- Purchasing
- Costing

- Others
- Internal Audit
Outcomes

- Quick Reference Sheet (QRS)
- Quick Reference Card (QRC)
- Flow charts
- Responsibility Matrix
- Updated Procedures
- Data, Documentation to Auditors