Research Quality Assurance

Who we are,
What we do,
How we interact with you
We are ..... A unit in the Office of the Vice President for Research Specifically within the Research Integrity and Regulatory Affairs area
RQA Staff

Associate VP for Research
Pete Dunn

Director, RQA
Mike Szczepanski

Sr Operations Manager
Tom Wright

Quality Assurance Specialist, Fin Mgmt
Randy Bryant

Quality Assurance Specialist, Re Regns
Chris Horton

Director, SPS
Mike Ludwig
About RQA unit ..... 

- formed in the Fall 2011
- will be comprised of five to six staff with knowledge in
  - financial management,
  - sponsored program management
  - research regulatory areas
- will provide services to the research community to review & suggest improvements to processes / procedures

"Over the past several years, the number, size and complexity of sponsored projects awarded to Purdue researchers have increased many fold. This presents increasing challenges to Purdue faculty, OVPR regulatory staff and Sponsored Programs Services staff in their responsibilities to assure compliance with applicable federal, state, and University policies “
We are on the web at www.purdue.edu/research/vpr/
**RQA web page**

http://www.purdue.edu/research/vpr/rschadmin/qa/index.php
Services we provide

- Financial Management Reviews
- Research Regulation Reviews
- Recommend improvements to procedures
- Assist with implementation of recommendations
- Develop best practice training material
- Provide policy training, training materials, etc.
- Coordinate the response to audits
Financial Grant Management

Reviews

Cost Transfers

Computer Purchases

Clerical & Administrative Expense

Vacation

Overload Pay on SPS accounts

Payout
Liaison with auditors

- Project Closeout Audits
- Annual A-133 Audit
- Reviews during the life of the project
Who we interact with...

- Research Integrity & Regulatory Affairs
  - Pete Dunn

- Animal Care & Use (LAP)
  - Lisa Snider

- Human Research Protection Program
  - Elizabeth Campbell

- Research Regulatory Compliance
  - Cookie Bryant-Gawthrop
Who we interact with…

**Sponsored Programs**
- Mike Ludwig

**Pre-Award**
- Amanda Hamaker
  - ... and the amazing Pre-Award staff

**Post-Award**
- Jessica Lawrence
  - ... and the phenomenal Post Award staff

**Ag Field Office**
- Beth Siple
  - ...and the marvelous Ag Field Office staff

**Tech & Admin Support**
- Stephanie Willis
  - ...and all the stupendous support staff
  - ...and other outstanding staff
Who we interact with...

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<th>Faculty/PI's</th>
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<td>Center Directors</td>
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<td>Researchers</td>
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<th>Research support staff</th>
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<td>Managing Director</td>
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<td>Operations Mgrs</td>
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<th>Business Office staff</th>
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<td>Bus Mgr Liaison</td>
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<td>Dept B.O. staff</td>
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<td>DP Business Ofc</td>
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<th>Others</th>
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<td>Internal Audit</td>
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Outcomes

- Quick Reference Sheet (QRS)
- Quick Reference Card (QRC)
- Flow charts
- Responsibility Matrix
- Updated Procedures
- Data, Documentation to Auditors
THANK YOU