

**MODEL #3 – RESTRICTED PROJECT APPROVAL FORM**  
REQUIRED FOR USE WITH MODEL 3 WORK-FOR-HIRE AGREEMENTS

**\*\*This form is to be completed by the Purdue Principal Investigator (PI)\*\***

**GENERAL INFORMATION**

PI Name:	Sponsor Name:	Project Title:	COEUS No.

- Please attach any description of the research objective that you have supplied to the potential Sponsor.
- Please list in detail the Sponsor-owned data, inventions, copyrights, and materials that will be used on the project.

**INTELLECTUAL PROPERTY**  
(Copyrightable works, research data and tangible research materials, and inventions and discoveries)

**Yes    No    Please answer each question.**

Does the proposed project include the use of materials, software or any other property or equipment that was provided by a non-Purdue party? If yes, please list item(s) and provider.

Are you aware of any restrictions on the use of any materials, software or other property or equipment that is to be used in the performance of the proposed project?

Does the proposed project require use of deliverables created under a different corporate sponsored research engagement previously undertaken by your lab?

Are you or any other person participating in the proposed project a consultant to the proposed corporate sponsor? If yes, please provide your ROA and/or RLA, as applicable and a copy of the corresponding consulting contract.

Have you submitted any disclosures to the Purdue Office of Technology Commercialization related to the proposed project previously? If yes, please provide a copy of the relevant submitted disclosure and date of submission.

**Note: All Purdue employees are required to file an invention disclosure on any inventions or software generated by this research. <http://www.purdue.edu/policies/academic-research-affairs/ia1.html>**

## PUBLICATION AND DATA RIGHTS

**Yes No Please answer each question.**

Are you willing to permanently and irrevocably give up all publication rights and all use of the resultant data and associated findings and conclusions?

Are students involved in any manner or will results be used to support student thesis/dissertations or other degree requirements?

Please briefly describe:

1. Please describe Sponsor's willingness to permit publication of the project research.
2. The scholarly merit of student participation in the project.
3. The plan to allow the student to meet his/her academic requirements in the event publication restrictions are imposed.

**Yes No** Are Post Docs involved in any manner?

Please briefly describe:

1. The plan to allow Post Docs to meet his/her professional requirements in the event publication restrictions are imposed.

**Yes No**

or  
**Unsure at  
this time**

Confidential technical data may involve specific limitations on access by foreign nationals per U.S. export control regulations. Will this research project include **non-U.S. Persons** (those who are not US citizens or legal permanent residents)? Note: Additional follow-up may be necessary.

### LOCATION OF WORK

Building	Room	Hrs per wk (this room is avail. for all activity-168 max)	Square ft (if known)	% of space used for the project	% of time the space is used for the project	Dates the space is used for the project
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Please provide a brief description how this proposed research will be segregated from other scholarly/research activities conducted by the PI.

### PRICE/FUNDING

**Yes No Please answer.**

Does this project involve any federal funds (i.e. SBIR/STTR Programs)?

**Note: All projects will be subject to the “commercial” facilities and administration rate.**

### CONFLICT OF INTEREST

**Yes No Please answer.**

Does this project present a conflict of interest for the principal investigator or other project personnel as described on the Executive Vice President for Research and Partnerships website: <http://www.purdue.edu/research/research-compliance/conflict-of-interest.php>? (i.e. does the principal investigator or other Purdue employees have a financial interest regarding the sponsor)? If yes, please describe.

**CONCURRENCE**

- Purdue Personnel shall keep all Project activities (including conferences and other Project communications), Deliverables, and Project IP confidential according to the sole discretion and instruction of Sponsor.
- Upon the conclusion of the Project, the University and project Personnel shall retain no records of the Project except the Deliverables which shall be securely stored as evidence of Purdue's performance under this Agreement.
- All Deliverables, all data, results and all other materials and information developed in the course of the Project, whether by Purdue Personnel or by Sponsor's personnel, and all Project IP shall be owned by Sponsor.
- Purdue Personnel shall sign all necessary forms of assignment as may be required by Sponsor and agree to fully and completely assign to Sponsor all right, title and interest in any intellectual property or Project IP, including but not limited to any technology, created on the Project.

**Accepted by:**

\_\_\_\_\_  
**Principal Investigator                      Date**

\_\_\_\_\_  
**Sr. Director of Sponsored Program Services      Date**

\_\_\_\_\_  
**Department Head                              Date**

\_\_\_\_\_  
**Executive Vice President for Research              Date  
and Partnerships**

\_\_\_\_\_  
**Dean/Director                                  Date**

\_\_\_\_\_  
**OTC Licensing Manager                      Initials**

\_\_\_\_\_  
**Purdue Research Foundation                              Date**