

Subrecipient Commitment Form
For PHS Sponsored Projects

PURDUE UNIVERSITY INFORMATION (Purdue use only)

Coeus Number

Prime Sponsor

Purdue PI Name

Project Title

SECTION A - CONTACT INFORMATION

1. Subrecipient Information:

Subrecipient Legal Name:

Performance Site Address:

Duns #

Performance Site Congressional District:

Parent Entity DUNS Number (if applicable)

EIN

Sub Project Period:

Sponsor Dollars Requested:

2. Principal Investigator Contact Information

Legal Name

Phone

Email

Address

3. Administrative/Contractual Contact Information

Name/Title

Phone

Email

Address

4. Single Audit Contact Information

Name/Title

Phone

Email

Address

5. Financial Contact Information

Name/Title

Phone

Email

Address

SECTION B - PROPOSAL DOCUMENTS

Statement of Work (Required)

Budget & Budget Justification (Required)

Biosketches

Other Support documents of all key personnel

Subrecipient Commitment Form (Required)

Other

SECTION C - CERTIFICATIONS

1. Facilities and Administrative Rates

The rates included in the proposal are calculated based on:

Our United States federally negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept. A copy of your U.S. F&A agreement or URL link to the agreement must be furnished to Purdue with this form. If a U.S. federally negotiated F&A rate is not available, the resulting award will only fund direct costs.

No Negotiated Rate (requesting de minimis rate of 10%)

URL Link for F&A agreement OR Other rates (Please specify the basis on which the rate has been calculated in comments line below)

2. Fringe Benefit Rates

The rates included in the proposal are calculated based on:

Rates consistent with or lower than our federally-negotiated rates a copy of your FB rate agreement or a URL link to the agreement must be furnished to Purdue with this form.

URL Link for Fringe Benefits OR Other rates (Please specify the basis on which the rate has been calculated in comments line below)

3. Fiscal Responsibility - The organization certifies that its financial system is in accordance with generally accepted accounting principles and:

Has the capability to identify, in its accounts, all federal awards received and expended and the federal programs under which they were received,

Maintains internal controls to assure that it is managing federal awards in compliance with applicable laws, regulations, and the provisions of contracts or grants,

Complies with applicable laws and regulations

Can prepare appropriate financial statements, including the schedule of expenditures of federal awards

There are no outstanding audit findings which would impact project costs. If there are findings, submit a copy of the report that describes the finding and steps to be taken to correct the finding

4. Subrecipient Business Status:

If a small business, identify business classification (*certified by the Small Business Administration):

Large Business

Small Disadvantaged Business (SDB)* (8a)*

Small Business

Women-Owned small business (WOSB)

Institution of Higher Education

Small Minority Business (SMB)

Alaska Native Corporation (ANC)

Veteran-owned small business (VOSB)

Historic Black College or University/Minority Institution

Service disabled veteran-owned small business (SDVOSB)

HUBZone small business*

5. Debarment, Suspension, Proposed Debarment

Is Principal Investigator or any other employee or student participating in this project debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs or activities? (if "YES" please explain in Section G Comments)

Yes No

Subrecipient Organization/Institution hereby certifies that they: (Check all boxes below)

Are not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal Contracts

Are not presently indicted for, or otherwise criminally or civilly charged by a government agency.

Have not within(3)been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) contract or subcontract violation of Federal or State antitrust statutes relating to the submission of offers or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records making false statements or receiving stolen property.

6. Human Subjects

Does this project involve Human Subjects?

Yes No

Research Exempt?

Yes No

Research Exemption Category (1-6).

Federalwide Assurance (FWA) #

If "YES," Copies of the IRB approval must be provided before any subaward will be issued.

7. Animals

Does this project involve Vertebrate Animals?

Yes No

Animal Welfare Assurance Number:

If "YES," Copies of the IACUC approval must be provided before any subaward will be issued.

8. Responsible Conduct in Research Education (Applies to NSF and NIFA/USDA Projects Only)

NSF

The Authorized Organizational Representative of the applicant institution is certifying that, in accordance with the NSF Proposal & Award Policies & Procedures Guide, Part II, Award & Administration Guide (AAG) Chapter IV.B., the institution has a plan in place to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students and postdoctoral researchers who will be supported by NSF to conduct research.

NIFA/USDA

The Authorized Organizational Representative of the applicant institution is certifying that upon acceptance of a subaward of National Institutes of Food and Agriculture (NIFA/USDA) funds with terms and conditions on or after February 1, 2013; the institution has or will develop procedures to comply with responsible and ethical conduct of research training requirements for personnel (including faculty) participating in the project.

SECTION D - SINGLE AUDIT STATUS

Does the subrecipient receive an annual single audit in accordance with OMB Circular A-133 (prior to 12/26/2014) or OMB Uniform Guidance, 2CFR 200 (after 12/26/2014)

Yes No

Were any audit findings reported in your most recent A-133 audit?

Yes No

Fiscal year of most recent single audit

If "YES," provide a description of the finding in the comments section below

Subrecipients receiving an annual audit are required to provide a copy of the most recent single audit report or the URL link to Purdue University Sponsored Programs before a subaward will be issued.

If subrecipient does not receive an annual audit in accordance with OMB Circular A-133 (prior to 12/26/2014) or OMB Uniform Guidance, 2CFR 200 (after 12/26/2014), please select the appropriate box indicating why the subrecipient would not be subject to compliance with single audit certification: (check all that apply)

Subrecipient receives overall federal funding less than \$500,000 per year?

Subrecipient receives overall federal funding less than \$750,000 per year (after 12/26/2014)

Non-Profit entity (under federal funding threshold)

Government Entity

Foreign Entity

Federal Agency

For - Profit Entity

SECTION E - CONFLICT OF INTEREST

Does the subrecipient Organization/Institution have an active, current, and enforced Financial Conflict of Interest (FCOI) policy that is consistent with the provision of 42 CFR Part 50, Subpart F " Responsibility of Applicants for Promoting Objectivity in Research"?

If "YES" Certify the following:

Certifies all financial disclosures have been made related to the activities proposed through the sub-contract.

If "NO" select one of the following:

Agree to abide by Purdue's Individual Financial Conflicts of Interest (111.B.2), available at: <http://www.purdue.edu/policies/ethics/iiib2.html>, and follow Purdue's FCOI disclosure and management processes.

Agree to implement the FDP Model Financial Conflict of Interest Policy, available at: http://sites.nationalacademies.org/PGA/fdp/PGA_061001

If "NO" please list all investigators as defined here: "A project director, a principal investigator of a research project and any other person, regardless of title or position, who is responsible for the design, conduct or reporting of research or project results at or involving Purdue, including Employees, subgrantess, contractors, subcontractors, collaborators and consultants."

Name Email Address

Name Email Address

Name Email Address

Name Email Address

Section F - Federal Funding Accountability and Transparency Act (FFATA) Information

The names and total compensation of the five most compensated officers of the entity(ies) must be listed if -- (i) the entity in the preceding fiscal year received (I) 80 percent or more of its annual gross revenues in federal awards (federal contracts (and subcontracts), loans, grants, (and subgrants) and cooperative agreements); AND
(II) \$25,000,000 or more in annual gross revenues from Federal awards; AND
(ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d) or section 6104 of the internal Revenue Code of 1986

Is subaward entity exempt from reporting executive compensation? If no, please complete the information below.

Officer 1 Name Officer 1 Compensation

Officer 2 Name Officer 2 Compensation

Officer 3 Name Officer 3 Compensation

Officer 4 Name Officer 4 Compensation

Officer 5 Name Officer 5 Compensation

SECTION G - COMMENTS

SECTION H - SIGNATURES

The Information, certification, and representations above have been read, signed, and made by an authorized official of the subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Printed Name and Title

Email

Phone

Signature of Authorized Official

Date