**Updated 08/13/18**

**How to Determine the Difference between a Monitoring Site Visit and an Audit**

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| **Monitoring Site Visit includes****Invoice Reviews, Site/Monitoring Visits and Agency Reviews** |
| * Invoice Reviews are requested by the sponsor’s Grants Management Official
	+ Focus on detailed backup for the selected invoice(s)
	+ Does not require any assistance from the RQA group other than consultation
* Site Visit/Monitoring Visit/Agency Reviews are typically conducted by the sponsoring agency’s Grants Management &/or Programmatic Officials
	+ On active awards
	+ Focus on financial and programmatic management of the active award
	+ Areas of focus include:
		- Payment requests
		- Reporting (financial and programmatic)
		- Day-to-day management of the award
		- Sponsor specific requirements/approvals
		- University policy and procedures
* Desk Reviews are typically conducted at the sponsoring agency by the Grants Management or Programmatic Officials. They will request backup documentation for transactions on the project (in full or for a specific timeframe). Information is normally reviewed at agencies location.
	+ On active awards
	+ Focus on financial and programmatic management of the active award
	+ Areas of focus include:
		- Payment requests
		- Reporting (financial and programmatic)
		- Day-to-day management of the award
		- Sponsor specific requirements/approvals
		- University policy and procedures
* **For the Monitoring Site Visits, use the Quick Reference Sheet at** [**https://www.purdue.edu/business/sps/doc/Monitoring\_Site\_Visit\_Guide.docx**](https://www.purdue.edu/business/sps/doc/Monitoring_Site_Visit_Guide.docx)

 **to determine roles and responsibilities.** |

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| **Audits are an Official Financial Examination of Accounts, Typically Conducted by an Independent Body** |
| * Audits are typically conducted by the Sponsoring Agency’s internal auditors, Office of Inspector General or external accounting firms.
	+ On expired awards
	+ Focus on financial/payroll transactions and University policy and procedures
	+ Areas of focus include:
		- Sampling of financial/payroll transactions with focus on allowability, allocability and reasonableness
		- University Internal controls
		- Terms and conditions of award
* **For Audits, the Quick Reference Sheet at** [**https://www.purdue.edu/business/sps/postaward/bs/Compliance/index.html**](https://www.purdue.edu/business/sps/postaward/bs/Compliance/index.html) **to determine roles and responsibilities.**
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