

Hot Topics

General Session: 8:30-9:20 (Stew 214)

Breakout Sessions: 9:30-10:40 and 10:50 -12:00

A) When a Small Sign is the Beginning of a Big Fix (Stew 214)

Ianthe "Cookie" Bryant-Gawthrop

B) Impact of Transformation on Research Administration (STEW 218)

Susan Corwin, Amanda Hamaker

Erin Fetter, Kim Hoebel



General Session

- **A Look at the Numbers**
- **Increased Scrutiny (Audits & Lessons Learned)**
- **Strengths, Risks and Opportunities (EVPRP Transition)**
- **Foreign Influence**
- **GM Transformation**
- **What's Next with HRPP**

Ken Sandel

Senior Director, Sponsored Program Services
Senior Intellectual Property Officer

Date: April 2019

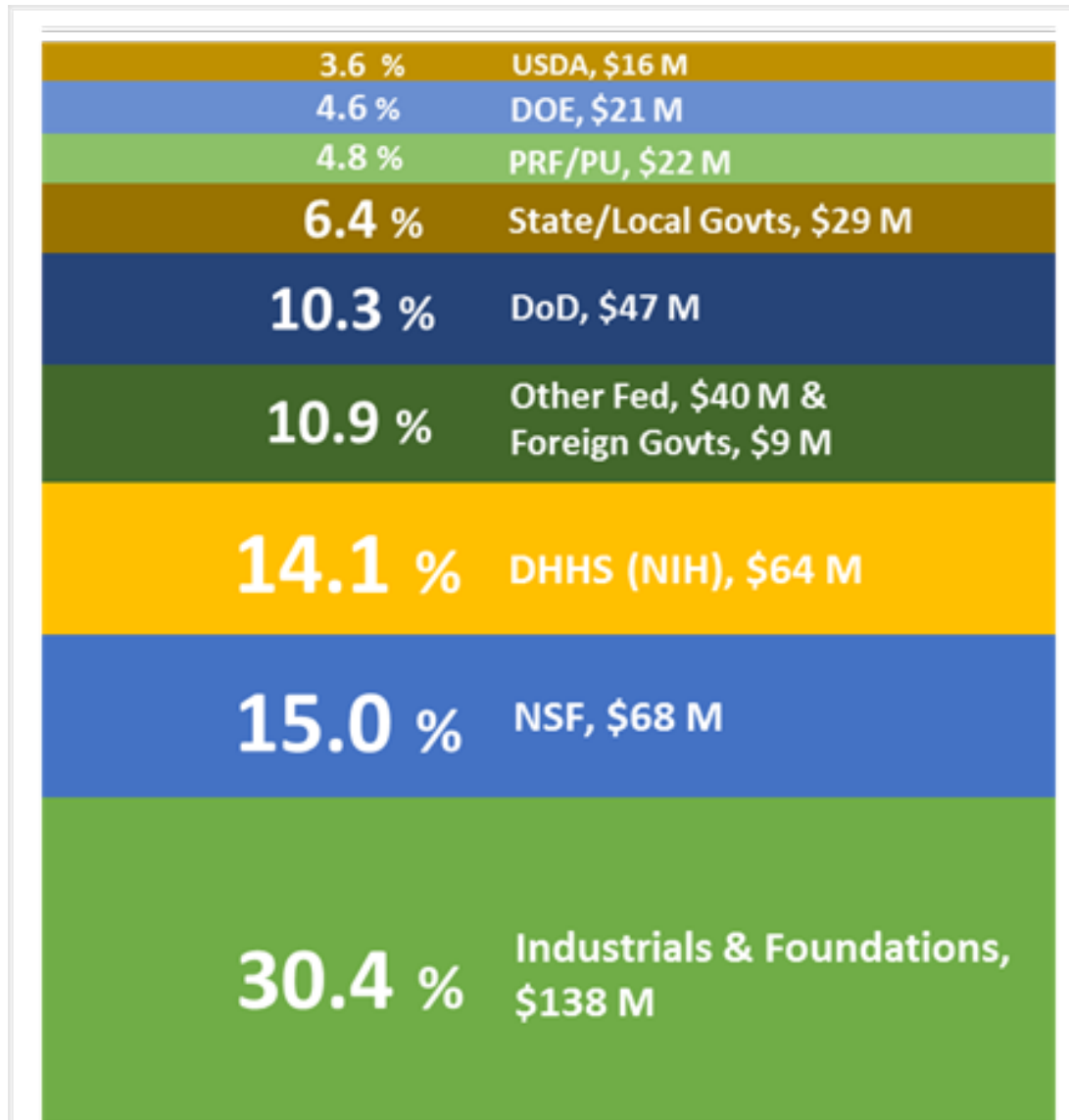
A Look at the Numbers



Purdue System-Wide Year-To-Date Awards By Sponsor
Compare Fiscal Years - FY18 vs FY17 (thru Period 12)

SPONSOR	FY2018 (Jul2017-Jun2018)		FY2017 (Jul2016-Jun2017)		% Change		\$DIFF
	NO.	\$AMOUNT	NO.	\$AMOUNT	NO.	AMOUNT	
National Science Foundation	284	68,025,456	303	83,289,208	-6%	-18%	-15,263,751
Dept. of Health and Human Services	309	63,976,121	273	53,950,445	13%	19%	10,025,677
Dept. of Defense	325	46,961,288	265	38,863,578	23%	21%	8,097,710
Dept. of Energy	146	20,800,358	133	27,147,984	10%	-23%	-8,347,626
Dept. of Agriculture	132	16,329,860	137	19,720,448	-4%	-17%	-3,390,588
National Aeronautics and Space Admin	111	9,426,197	99	6,892,031	12%	37%	2,534,165
Other Federal	89	9,714,473	70	10,307,151	27%	-6%	-592,678
Dept. of Education	24	9,149,611	23	10,294,258	4%	-11%	-1,144,647
Dept. of Interior	23	737,898	18	908,629	28%	-19%	-170,731
Environmental Protection Agency	13	1,334,794	10	3,169,559	30%	-58%	-1,834,765
Dept. of Transportation	15	6,343,328	22	1,922,776	-32%	230%	4,420,552
Agency for International Development	21	3,441,253	19	4,445,595	11%	-23%	-1,004,343
Total Federal	1,492	\$256,240,637	1,372	\$260,911,662	9%	-2%	-\$4,671,026
Industrials and Foundations	1,562	138,163,581	1,594	102,249,146	-2%	35%	35,914,434
Profit	914	65,612,854	983	63,942,875	-7%	3%	1,669,979
Non-Profit	648	72,550,726	611	38,306,272	6%	89%	34,244,455
State/Local Governments	129	28,955,757	136	27,944,545	-5%	4%	1,011,213
Purdue University/Purdue Research Fdn	598	21,877,950	691	22,764,860	-13%	-4%	-886,910
Purdue University	212	7,579,501	231	9,796,574	-8%	-23%	-2,217,073
Purdue Research Foundation	386	14,298,448	460	12,968,285	-16%	10%	1,330,163
Foreign Governments	79	9,257,062	73	4,406,863	8%	110%	4,850,199
Total Non-Federal	2,368	198,254,350	2,494	157,365,414	-5%	26%	\$40,888,936
Total Purdue System-Wide	3,860	\$454,494,987	3,866	\$418,277,076	0%	9%	\$36,217,911

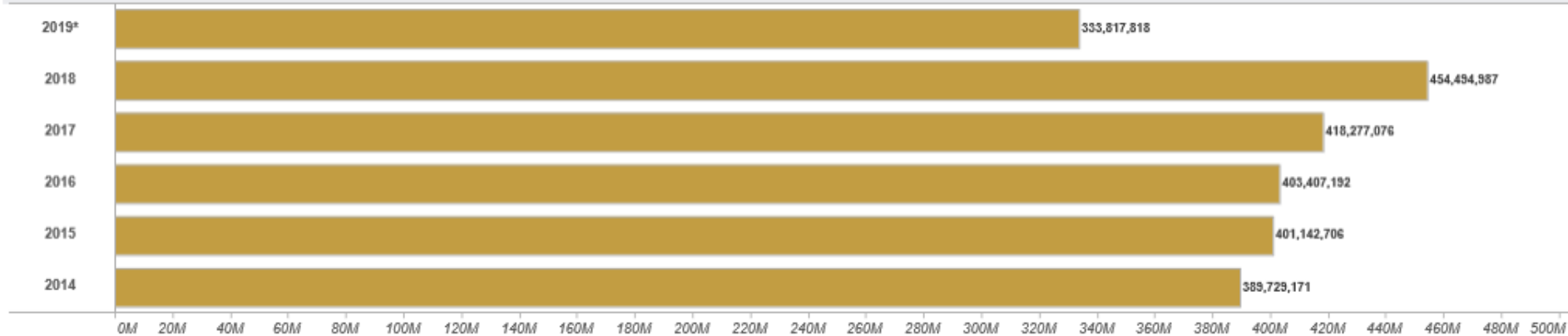
Awards FY 2018 – By Agency



\$454.5M in Sponsored Awards

Award Amount by Sponsor Category by Fiscal View

Notes: Fiscal Year is from July to June. Fiscal 2019 is a...
of March 2019



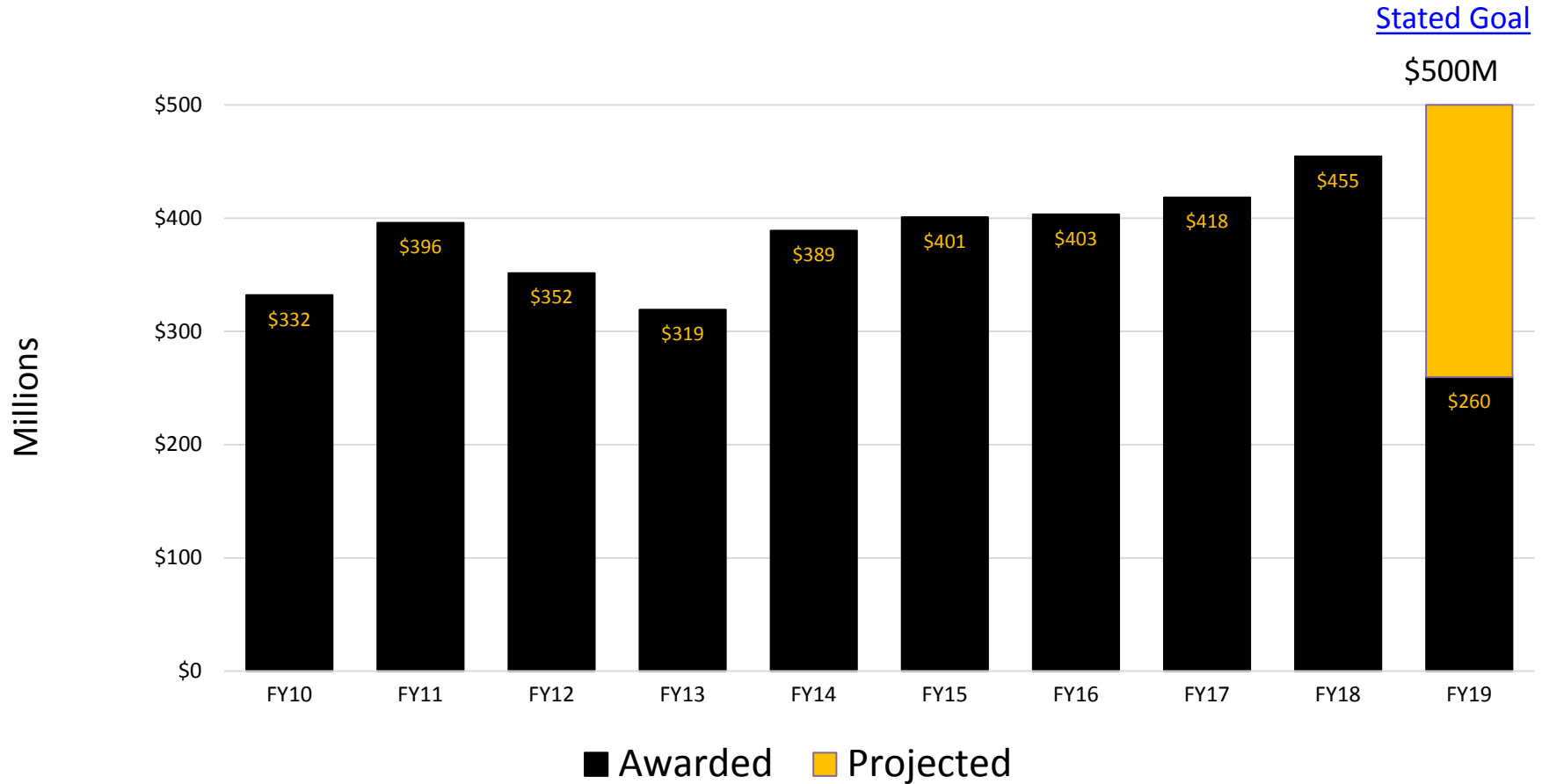
Award Amount by Sponsor All by Sponsor Category

	2019*	2018	2017	2016	2015	2014
Federal	212,014,538	256,240,637	260,911,662	250,217,779	228,680,192	228,357,645
Foreign Govts	9,496,413	9,257,062	4,406,863	2,786,898	3,706,484	16,341,478
Industrial/Foundations	74,459,483	138,163,581	102,249,146	82,232,076	133,635,225	98,004,984
PRF/Purdue	9,000,439	21,877,950	22,764,860	28,904,378	13,681,132	23,674,556
State/Local Govts	28,846,945	28,955,757	27,944,545	39,266,060	21,439,672	23,350,508
Grand Total	333,817,818	454,494,987	418,277,076	403,407,192	401,142,706	389,729,171

Industrial and Foundations

Profit/NonProfit in Industrials and Foundations	2019*	2018	2017	2016	2015	2014
Non-Profit	21,801,556	72,550,726	38,306,272	33,544,122	77,874,575	50,771,937
Profit	52,657,927	65,612,854	63,942,875	48,687,954	55,760,650	47,233,047
Grand Total	74,459,483	138,163,581	102,249,146	82,232,076	133,635,225	98,004,984

Purdue Sponsored Research Awards System-wide, excluding ARRA



\$ in millions

Survey Instructions

HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY

- Sponsored research
- University research
- Startup, bridge, or seed funding provided to researchers within your institution
- Other departmental funds designated for research
- Recovered and unrecovered indirect costs
- Equipment purchased from R&D project accounts
- R&D funds passed through to a subrecipient organization, educational or other
- Clinical trials, Phases I, II, or III
- Research training grants funding work on organized research projects
- Tuition remission provided to students working on research

INFORMATION ONLY – DO NOT USE TO REPORT

Survey Definitions and Instructions

This survey collects data on research and development (R&D) activities at higher education institutions. Please report R&D activities and expenditures for your institution's 2018 fiscal year.

Fiscal Year (FY)

Please report data for your institution's 2018 fiscal year.

Research and Development (R&D)

R&D activity is creative and systematic work undertaken in order to increase the stock of knowledge — including knowledge of humankind, culture, and society — and to devise new applications of available knowledge. R&D covers three activities defined below — basic research, applied research, and experimental development.

- **Basic research** is experimental or theoretical work undertaken primarily to acquire new knowledge of the underlying foundations of phenomena and observable facts, without any particular application or use in view.
- **Applied research** is original investigation undertaken in order to acquire new knowledge. It is directed primarily towards a specific, practical aim or objective.
- **Experimental development** is systematic work, drawing on knowledge gained from research and practical experience and producing additional knowledge, which is directed to producing new products or processes or to improving existing products or processes.

R&D Expenditures

Include all expenditures for R&D activities from your institution's current operating funds that are separately accounted for. For purposes of this survey, R&D includes expenditures for organized research as defined by 2 CFR Part 200 Appendix III and expenditures from funds designated for research.

R&D includes:

- Sponsored research (federal and nonfederal)
- University research (institutional funds that are separately budgeted for individual R&D projects)
- Startup, bridge, or seed funding provided to researchers within your institution
- Other departmental funds designated for research
- Recovered and unrecovered indirect costs (see definitions in Question 1)
- Equipment purchased from R&D project accounts
- R&D funds passed through to a subrecipient organization, educational or other
- Clinical trials, Phases I, II, or III (see definition in Question 5)
- Research training grants funding work on organized research projects
- Tuition remission provided to students working on research

R&D does not include:

- Public service grants or outreach programs
- Curriculum development (unless included as part of an overall research project)
- R&D conducted by university faculty or staff at outside institutions that is not accounted for in your financial records
- Estimates of the proportion of time budgeted for instruction that is spent on research
- Capital projects (i.e., construction or renovation of research facilities)
- Non-research training grants
- Unrecovered indirect costs that exceed your institution's federally negotiated Facilities and Administrative (F&A) rate

https://www.nsf.gov/statistics/srvyherd/surveys/srvyherd_2018.pdf

HERD should be generated for FY 2019 based on Functional Area

HERD Survey Results 2013-2017

HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY

Total R&D expenditures (\$000)

Institution	2017		2016		2015		2014		2013						
	Rank	Percentile	R&D expenditures	Rank	Percentile	R&D expenditures	Rank	Percentile	R&D expenditures	Rank	Percentile	R&D expenditures			
Total R&D expenditures			75,315,121			71,935,291			68,692,335			67,348,593			67,144,666
Johns Hopkins University ^[1]	1	1.0	2,562,307	1	1.0	2,431,180	1	1.0	2,305,679	1	1.0	2,242,478	1	1.0	2,168,568
University of Michigan, Ann Arbor	2	1.1	1,530,139	2	1.1	1,436,448	2	1.1	1,369,278	2	1.1	1,349,262	2	1.1	1,375,117
University of California, San Francisco	3	1.2	1,409,398	4	1.3	1,294,261	4	1.3	1,126,620	5	1.5	1,084,031	6	1.6	1,042,841
University of Pennsylvania	4	1.3	1,374,293	3	1.2	1,296,429	17	2.8	864,068	18	2.9	828,350	18	2.9	828,422
University of Washington, Seattle	5	1.4	1,348,220	5	1.4	1,277,679	3	1.2	1,180,563	3	1.2	1,176,340	3	1.2	1,192,513
University of Wisconsin-Madison	6	1.6	1,193,413	6	1.6	1,157,680	6	1.6	1,069,077	4	1.3	1,108,564	4	1.3	1,123,501
University of California, San Diego	7	1.7	1,133,454	7	1.7	1,087,117	5	1.4	1,101,466	6	1.6	1,067,388	5	1.5	1,075,554
Duke University	8	1.8	1,126,924	10	2.0	1,055,778	7	1.7	1,036,698	7	1.7	1,036,813	8	1.8	992,821
Harvard University	9	1.9	1,123,160	8	1.8	1,077,253	10	2.0	1,013,753	11	2.1	933,975	7	1.7	1,012,766
Stanford University	10	2.0	1,109,708	9	1.9	1,066,269	8	1.8	1,022,551	9	1.9	959,247	11	2.1	945,450
University of Texas at Austin, The	35	4.7	652,187	34	4.7	621,692	34	4.6	604,376	34	4.7	585,251	31	4.4	634,132
University of Illinois at Urbana-Champaign	36	4.9	642,084	33	4.5	625,180	31	4.3	639,817	32	4.5	621,733	22	3.4	743,487
Purdue University, West Lafayette	37	5.0	622,814	37	5.0	606,302	37	5.0	558,611	35	4.8	564,923	33	4.6	595,739
University of Arizona, The	38	5.1	622,200	38	5.1	604,464	33	4.5	606,219	33	4.6	588,088	32	4.5	629,466
Baylor College of Medicine	39	5.2	604,952	39	5.2	572,527	39	5.2	520,220	40	5.4	496,314	37	5.0	508,799
Mount Sinai School of Medicine	40	5.3	575,448	40	5.3	540,296	42	5.5	508,353	44	5.8	463,429	49	6.4	428,654
Purdue U., Northwest	336	37.8	8,924	350	39.6	7,812	323	36.5	9,669	341	39.0	8,166	342	39.2	8,259
Indiana University-Purdue University, Fort Wayne	393	44.1	5,254	378	42.7	5,952	360	40.6	7,052	347	39.6	7,882	344	39.4	8,128
Gordon-Conwell Theological Seminary	901	99.9	150	0	0.0	0	0	0.0	0	0	0.0	0	0	0.0	0
Purdue University, System			636,992			620,066			575,332			580,971			612,126

<https://ncesdata.nsf.gov/profiles/site?method=rankingBySource&ds=herd>

Increased Audit Scrutiny



Audits

Completed Audits

1. UG Federal Single Audit
2. Japanese Aerospace Exploration Audit
3. USAID/Afghanistan Closeout Audit
4. United Soybean Board Audit
5. Family Health International/USAID Audit

Audits in process

1. Family Health International/USAID Audit
2. NSF/NEES Audit
3. NSF Audit

Agency Desk Reviews

1. OSHA
2. USDA-SNAP ED
3. National Institute of Justice
4. SBA/State of Indiana

12 in total

NSF Analytics Audit – Final Results

Audit Scope:

- For the period April 1, 2012 through March 31, 2015
 - \$238,897,545 of expenditures
 - 493,646 transactions
 - 895 awards

Disallowances:

- \$39,728 disallowed (.000166)
- 13 transactions (.000026)
- 4 awards (.00447)

Results speak for themselves as to the control environment in place at Purdue University related to Contract/Grant administration and the overall ecosystem of internal controls and our processes and procedures already in place.

The audit highlighted a number of things Purdue faculty, staff and research administrators need to remain focused on and diligent in award administration.

Lessons Learned...

Lessons Learned

- Expenditures in the last ninety days of project highly scrutinized.
 - Capital equipment purchases
 - Computer purchases
 - Travel – other than presenting results of project at meetings
- Transactions posted after project expiration date are red flags
 - Critical to document benefit to project in detail
 - Why transactions posting after project expiration date
 - Clear supporting documentation
- Record retention of supporting backup documentation
 - Pcard receipts
 - Allocability support of charges
- Presentations at conferences, workshops, symposiums
 - Document sponsor and project in power points, handouts, posters
 - Document purpose for project being presented
- Document project activities in quarterly, annual, and final technical reports
 - Travel – both domestic and international
 - Purpose of trip
 - Who met with
 - Meetings, workshops, conferences attended
 - Equipment and computer purchases
 - Why needed
 - Benefits to the Project

RQA Reviews

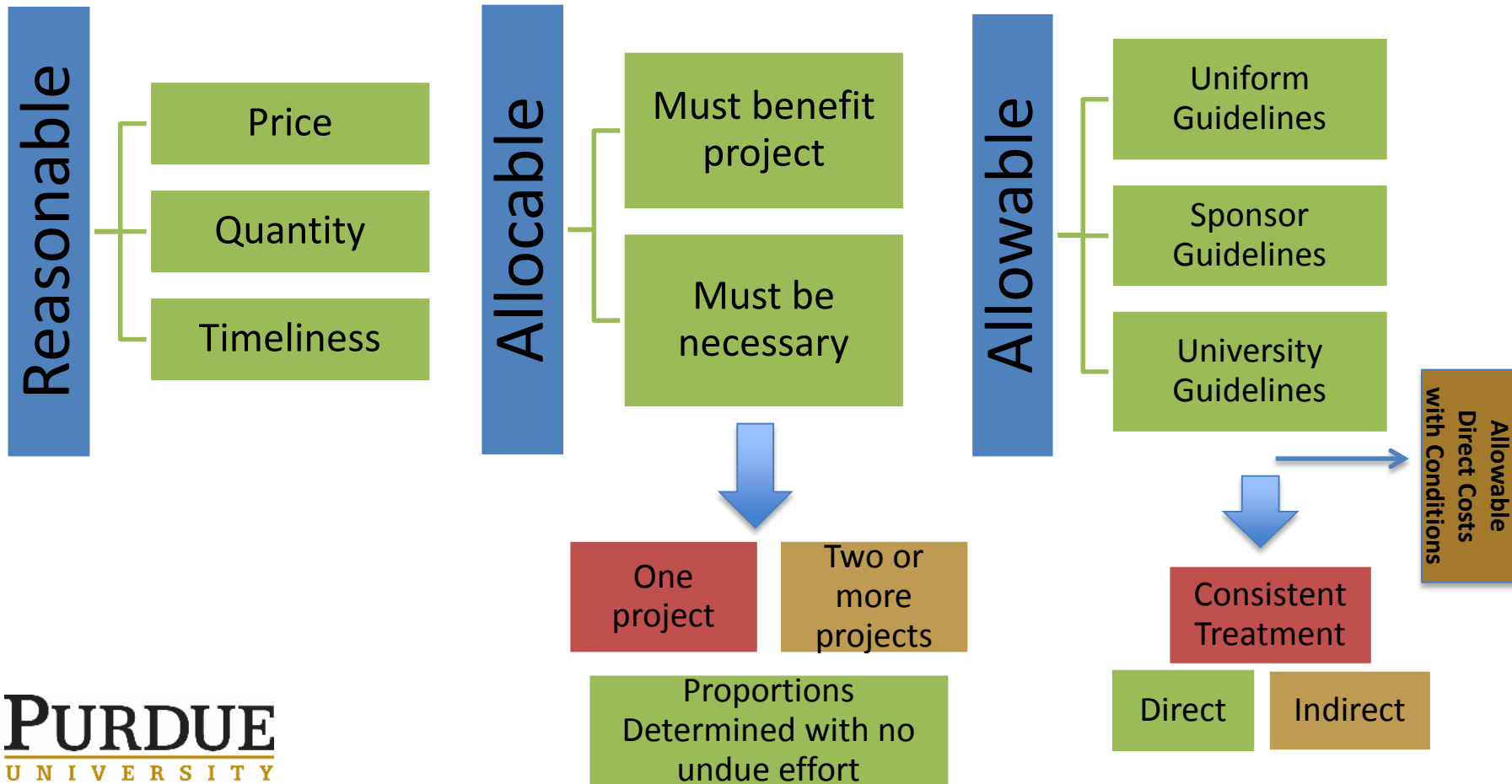
Reviews

- **Key Internal Controls (Federal Appropriations, Student Aid, Sponsored Programs)**
 - **Inventory of Guidelines, Policies, Procedures, QRCs, Etc.**
- Post approval monitoring review of approved IRB protocols
- Memo Match Cost Share
- Signature delegation for non-SPS staff
- Review of JV's processed to move payroll prior to 7/1/18 (initial once completed & in process)
- PIs with protocols that go on leave or permanently leave the University



GRANTS MANAGEMENT

Determining Chargeability of Costs to a Sponsored Program:



Foreign Influence



NSF
National Science Foundation

International Science Board raises concerns about the security of U.S. science



PREPARING FUTURE HEALTHCARE LEADERS



Does Huawei's Research Funding Pose a Threat?

Department of Justice

U.S. Treasury issues new rules on investments

700
New defense bill could give U.S. government, private industry and FTE more

Londoner signs FTL, Huawei in defense bill



Trump signs bill, securing government and Huawei technology

Don't use Huawei phones, say heads of FBI, CIA, and NSA

Picking flowers, making honey

STAY




Chinese Scientists Widen Collaboration U.S.

Chinese scientists are expanding their collaboration with U.S. researchers, according to a report from the U.S. House of Representatives. The report, released in June 2019, states that Chinese scientists are increasingly publishing research in U.S. journals and attending U.S. conferences. It also notes that Chinese scientists are increasingly working with U.S. researchers on joint projects.

THE WALL STREET JOURNAL

All Star returned to the U.S., Chinese Giant Huawei is welcomed in Britain



U.S. Treasury issues new rules on investments

U.S. Treasury has issued new rules on investments in certain countries, including China. The rules are designed to protect U.S. national security and economic interests. They require U.S. investors to conduct enhanced due diligence on investments in certain countries and to report on their investments.

China - Foreign Threats to Our Universities

June 2018

- In 2008 China began a program called **“Thousand Talents”** which **leverages** its citizens that were educated or employed in the U.S. as **conduits for the transfer** of U.S. technology, intellectual property, and know-how back to China.
- The current **pool of recruits in the program is thought to be 2,629** (44 percent of whom specialize in medicine, life or health sciences...
- The undersecretary for research and engineering (DoD) stated **“We have seen the Chinese target top talent in American universities** and research labs of the private sector, including defense contractors and the U.S. government,”

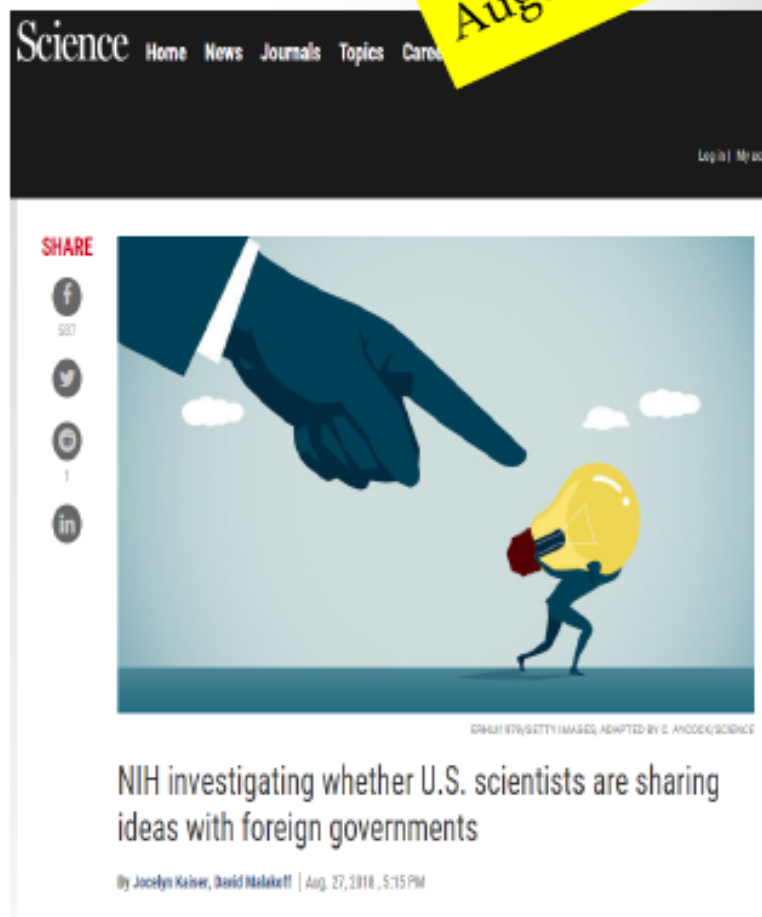


Bloomberg article *U.S. Faces “Unprecedented Threat” From China on Tech Takeover*, 6/22/2018 by Anthony Capaccio

Foreign Influence / Entanglements

August 2018

1. Diversion of intellectual property (IP) ... to other entities, including other countries;
2. Sharing of confidential information on grant applications by NIH peer reviewers with others, including foreign entities, or otherwise attempting to influence funding decisions; and
3. Failure by some researchers working at NIH-funded institutions in the U.S. to disclose substantial resources from other organizations, including foreign governments, which threatens to distort decisions about the appropriate use of NIH funds.



The image shows a screenshot of a Science magazine article. At the top, the word "Science" is visible in a large font, with navigation links for "Home", "News", "Journals", "Topics", and "Careers". A yellow banner in the top right corner reads "August 2018". Below the navigation, there are social media sharing icons for Facebook, Twitter, and LinkedIn. The main image is an illustration of a large hand pointing towards a small figure holding a glowing lightbulb. The text below the image reads: "NIH investigating whether U.S. scientists are sharing ideas with foreign governments". At the bottom, it says "By Jocelyn Kaiser, David Malachuk | Aug. 27, 2018, 5:15 PM".

Foreign Influence

Competitiveness
and National
Security



Openness in
Research

Purdue's Response

1. Leadership participating actively in the conversation in Washington DC
2. Provost and EVPRP – Clarifying Expectations on Campus/Talking with Faculty
3. VPEC, COI, OGC, SPS, EVPRP – Drafting FAQ and Coordinating Efforts
4. GRC/GAC – Looking into their intake process/Updating Processes & Procedures
5. SPS – Current & Pending/Disclosure of FCOI/Updating Processes & Procedures
6. Export Control – Enhance utilization of Visual Compliance/Updating Processes & Procedures
7. Policy Committee – Reviewing FCOI and ROA
8. Business Offices – Identifying issues, asking questions assisting with compliance
9. All - Increase Awareness be Alert for the Small Signs



Sponsored Program Services

PRF



Resources	Feedback
Pre-Award <ul style="list-style-type: none"> Proposal Worksheet Proposal Preparation Pre-Award FAQ's Institutional Information 	Post Award <ul style="list-style-type: none"> SPS Handbook/Guidelin Faculty Resources Business & Regional O SAP Budget Templates
Contracting <ul style="list-style-type: none"> What Contracting Handles Request Contracting Service Contracting 101 Industry Contracting Models 	Data <ul style="list-style-type: none"> Awards/Expenditures/T Data Requests Data Digest College/Dept Inquiry
Research Quality Assurance	

Disclosure and Reporting Requirements

Flexible IP Contracting Models

Sponsored Program Services

SPS is a collaboration between the Treasurer/Chief Financial Officer and Executive Vice President for Research and Partnerships. Responsibilities include: Proposals, Award Management, Contract Negotiation, Research Administration, Quality Assurance, Regulatory Compliance, Agricultural and International Programs, Data and Support Services.



Sponsored Program Services

Data Home

Awards

Proposals

Expenditures

Negotiations

Trends

GM AIMS

Reporting Tools

Requests

Contact Us

Disclosure and Reporting Requirements

Letter from the Provost and EVPRP related to foreign influence on research and scholarship

Both Domestic and International

- Disclose to the Sponsored Program Services Office all research projects you devote effort to – ongoing or proposed – both domestic and international - in the Current and Pending Support/Other Support of your proposal application or at Just-in-Time. This includes any direct support provided to you, even if not officially through Purdue.

https://www.purdue.edu/business/sps/preaward/support_service.html

- Disclose to the University Development Office any gift solicitation with all individuals and organizations in compliance with University requirements.

<https://www.purdue.edu/policies/ethics/iib5.html>

- Disclose your outside professional activities and financial relationships, whether compensated or uncompensated, through the Reportable Outside Activity Form. Such disclosures must include all work for, or financial interests received from, domestic and international institutions of higher education or the government or quasi-governmental organizations.

<https://www.purdue.edu/ethics/resources/ROAformguidelines.html>

- Disclose financial interests related to your research in all public sharing of research results including journal articles, presentations, and other publications. Journals and professional organizations have various, often broader standards for financial interest disclosure than the University. Review those standards for each relevant journal or organization and disclose appropriately. Disclose to Purdue research related Significant Financial Interests, including stipends, living



Office of the Executive Vice President for Research and Partnerships

Export Controls and Research Information Assurance

Policy

FAQs

Definitions

Training

Publication and/or Dissemination Restrictions

International Travel

International Research Collaborations

Working with Controlled Software

Using Proprietary and/or

Export Controls and Research Information Assurance

Email Address Sign up for alerts

EXRC access

For Purdue personnel who require access to the Export Controlled Research Cluster (EXRC), please see the [guidance document](#) for the access request process.

Last updated: January 30, 2019

AMRDEC SAFE Outage

For Purdue personnel who use AMRDEC SAFE for secure file transfers, please see the [guidance document](#) for alternative methods of sharing files during the Outage.

Last updated: December 19, 2018

The Export Control and Information Assurance team within the Office of Research and Partnerships is here to assist faculty, staff and students in complying with federal export regulations and protecting our sponsors and partners' proprietary information.

Accepting publication
restrictions in
research

FAQs

International shipping

Traveling
internationally and
attending conferences

Hosting international
visitors

[https://www.purdue.edu/research/
regulatory-affairs/export-controls-and-
research-information-assurance/](https://www.purdue.edu/research/regulatory-affairs/export-controls-and-research-information-assurance/)

International research
collaborations

Working with
international staff and
students

Using external
proprietary
information

Conducting research
outside the US

Working with
Controlled Software



Managerial Accounting Services

Google Custom Search



[General Info](#) [Costing](#) [Global Support](#) [Organizational Tax](#) [Property Accounting](#) [Finance Training](#) [Links/Resources](#)

Office of Legal Counsel



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Kurz Purdue Technology Center
(KPTC)
1281 Win Hentschel Blvd. Ste. 1100
West Lafayette, IN 47906-4182

Global Support

Global Initiatives Support Team: Description and Purpose

Multifunctional central office support team incorporating resources from a variety of the administrative roles commonly required in support of global initiatives and engagement. The primary purposes of the group include: streamlining the process of rapidly obtaining accurate information that must be synthesized from multiple central areas that are physically and structurally separate; developing and/or deploying university-wide policies and procedures to facilitate a consistent approach to global engagement enterprise wide; allocation of central resources; development of country specific guides for both operations and faculty/staff; and publishing of a central web resource with tools, templates, etc. to aid in the administration of global projects.

Current membership of the group includes representatives from human resources, payroll, treasury operations, risk management, accounting, tax, procurement, sponsored programs, general counsel, business management and regional campuses.

Baseline Functional Requirements of Global Initiatives

Strengths, Risks & Opportunities

EVPRP Transition



Purdue Research Strengths

- Purdue has strong reputation for fiscal oversight
 - Need to ensure Internal Controls are updated for BPR
 - Rely heavily on account management in business offices
- Strong partnership approach and culture
 - Support robust and diverse Industry sponsorship
 - Flexible industry contracting models that support a wide range of intellectual property options
- Excellent track record for audit compliance
 - Adapt to a more stringent audit environment
- Organizational structure positioning Pre-Award, Contracting and Post Award under a single organizational unit
 - Most Pre-Award (Provost/VPR) & Post Award (Treasurer)
 - Recognized leader in centralized Pre-Award support
- Award-winning data security and recognized strength in export control and information assurance
 - Must develop a new controls and information to assist in understanding Foreign Influence and grow our Gov't research

Risk Considerations/Oversight

- Contract Compliance
 - Industry contracts have a lot of variety
 - Government compliance, controlled projects, data security
 - Government reporting and disclosure requirements
- A133 Compliance Findings
- Confidentiality Breaches
 - Information assurance
- Export Control Violations
- Data Security Violations
- Intellectual Property Liability
 - Background IP Issues
 - Sponsor demands
- Management of Risk
- International tax issues, presence and registration
- Cash flow/billings (documentation requirements)

GM Transformation



BPR Successes for SPS

GM TRANSFORMATION

- **Cost Share Commitment Tab** – Much Improved Tracking
- **Grant Status Changes** – System automatically changes the status removing manual processes and providing more timeliness
- **Grant Master Changes** – Notes and Invoicing Text required for sponsor invoicing provides ready information for both the sponsor (on the invoice) and also SPS.
- **Award Notifications** – Important information relating to the project is now automatically distributed to the PI and Business Office eliminating manual emails.
- **Dunning Notices** – These are now system-generated to provide consistency and timeliness. In addition, the manual effort is eliminated.
- **Cost Share Budgeting** – Allows for one source of information on the grant with ability to enter, approve and finalize for transfer of cash from the cost share source.
- **Budget Template** – Saves time to eliminate SPS rekeying of budget from the Business Office.
- **Sponsor Code/Business Partner** – The new process expedites the establishment of the business partner between SAP and Coeus.
- **New Grant Master Data Fields** – New fields allow SPS to track important information to manage the project.

BPR Impact for Grants Management

					Award Notifications automatic- verbiage changed, copies sent
					Cost Share Commitment Tab tracking and managing much improved
					Dunning Notices automatic,verbiage changed and timing improved
Faculty Allocation Person ID in the WBSE	Setup process - lost simplicity of setup from Internal Order			Sponsor invoicing and backup - still requires a manual signature.	Cost Share Budget - improved and allows more visibility
Loss of Payroll data in AIMS - JVs	WBSE - Much more to assign			Sub-Recipient: substitution roles for faculty	
		Master data restored		FSSR added memo match	Budget Template saves time from keying
Locking of WBSE		ZGMFORMS calculation and corrections made		Automatic grant status changes have removed manual processes	
	Outstanding Checks/Unconverted Grants	F&A Adjustments entered by SPS		Documentation required for sponsor invoicing is in the grant master data	Sponsor Code Setup is done through Fiori
					New grant master data fields
Functionality not replaced	Functionality replaced but worse	Functionality Replaced In-Kind	Functionality Replaced Improved	Functionality Replaced Improved	New Enhancements

What's Next

Human Research Protection Program

*Ianthe "Cookie" Bryant-Gawthrop, M.S., C.R.A., C.I.P.
Director, Research Regulatory Affairs and Human
Research Protection Program
Office of the Executive Vice President for Research
and Partnerships*

Highlights from the Human Research Protection Program

- Long Awaited Final Implementation of Changes to the Common Rule
 - Triennial Review for Qualifying protocols deemed by IRB to be “No Greater Than Minimal Risk”
 - Increased ability to process HRPP/IRB protocols under exemption categories
- Storing Research Data Using Box
 - Sensitive Data requires special account set-up
- Seeking accreditation from the Association for the Accreditation of Human Research Protection Programs (AAHRPP)¹
 - Respect and reputation for collaborative efforts
 - Enhance standings with sponsors and federal agencies
 - Common commitment to quality improvement

¹Summarized from <http://aahrpp.org/learn/considering-accreditation/value-of-accreditation>

Questions?

Ken Sandel
Senior Director, Sponsored Program Services
Senior Intellectual Property Officer

Hot Topics

General Session: 8:30-9:20 (Stew 214)

Breakout Sessions: 9:30-10:40 and 10:50 -12:00

A) When a Small Sign is the Beginning of a Big Fix (Stew 214)

Ianthe "Cookie" Bryant-Gawthrop

B) Impact of Transformation on Research Administration (STEW 218)

Susan Corwin, Amanda Hamaker

Erin Fetter, Kim Hoebel

