

Subrecipient Entity Profile

SECTION A - CONTACT INFORMATION

1. Subrecipient Information

Subrecipient Legal Name:

Duns #

Parent DUNS # (if applicable)

EIN

Address

2. Administrative/Contractual Contact Information

Name/Title

Phone

Email

3. Single Audit Contact Information

Name/Title

Phone

Email

4. Financial Contact Information

Name/Title

Phone

Email

SECTION B - CERTIFICATIONS

1. Facilities and Administrative Rates

A copy of our most recent negotiated U.S. F&A agreement or URL link to the agreement is included with this form.

No Negotiated Rate (de minimis rate of 10% will be included in proposals submitted to Purdue).

URL Link for F&A agreement OR Other rates (Please specify the basis on which the rate has been calculated below):

2. Fringe Benefit Rates

URL Link for Negotiated Fringe Benefit Rates OR Other rates (Please specify the basis on which the rates has been calculated below):

3. Fiscal Responsibility

The organization certifies that its financial system is in accordance with generally accepted accounting principles and:

Has the capability to identify, in its accounts, all federal awards received and expended and the federal programs under which they were received,

Maintains internal controls to assure that it is managing federal awards in compliance with applicable laws, regulations, and the provisions of contracts or grants,

Complies with applicable laws and regulations

Can prepare appropriate financial statements, including the schedule of expenditures of federal awards

There are no outstanding audit findings which would impact project costs. If there are findings, submit a copy of the report that describes the finding and steps to be taken to correct the finding

4. Subrecipient Business Status:

If a small business, identify business classification (*certified by the Small Business Administration):

Small Disadvantaged Business (SDB)* (8a)*

Women-Owned Small Business (WOSB)

Small Minority Business (SMB)

Veteran-owned small business (VOSB)

Service disabled veteran-owned small business (SDVOSB)

HUBZone small business*

5. Debarment, Suspension, Proposed Debarment

Subrecipient Organization/Institution hereby certifies that they: (Check all boxes below)

Are not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal Contracts

Are not presently indicted for, or otherwise criminally or civilly charged by a government agency.

Have not within(3)been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) contract or subcontract violation of Federal or State antitrust statutes relating to the submission of offers or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records making false statements or receiving stolen property.

6. Human Subjects - Federalwide Assurance Number (FWA):

7. Animal Welfare Assurance Number:

8. Responsible Conduct in Research Education

NSF:

The Authorized Organizational Representative of the applicant institution is certifying that, in accordance with the NSF Proposal & Award Policies & Procedures Guide, IX.B., the institution has a plan in place to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students and postdoctoral researchers who will be supported by NSF to conduct research.

NIFA/USDA:

The Authorized Organizational Representative of the applicant institution is certifying that upon acceptance of a subaward of National Institutes of Food and Agriculture (NIFA/USDA) funds with terms and conditions on or after February 1, 2013; the institution has or will develop procedures to comply with responsible and ethical conduct of research training requirements for personnel (including faculty) participating in the project.

SECTION C - SINGLE AUDIT STATUS

Does the subrecipient receive an annual single audit in accordance with OMB Uniform Guidance, 2CFR 200?

Yes No Fiscal year of most recent single audit:

Were any audit findings reported in your most recent single audit? Yes No

If "YES," provide a description of the finding(s) below:

Subrecipients receiving an annual audit are required to provide a copy of the most recent single audit report or the URL before a subaward will be issued. Please provide URL below:

If subrecipient does not receive an annual audit in accordance with OMB Uniform Guidance, 2CFR 200, please select the appropriate box indicating why the subrecipient would not be subject to compliance with single audit certification: (check all that apply)

Subrecipient receives overall federal funding less than \$750,000 per year

Non-Profit entity (under federal funding threshold)

Government Entity

Foreign Entity

Federal Agency

For - Profit Entity

SECTION D - CONFLICT OF INTEREST

Does the subrecipient Organization/Institution have an active, current, and enforced Financial Conflict of Interest (FCOI) policy that is consistent with the provision of 42 CFR Part 50, Subpart F " Responsibility of Applicants for Promoting Objectivity in Research"?

If "YES" Certify the following:

Certifies all financial disclosures will be made related to any activities proposed to Purdue University.

If "NO" select one of the following:

Agree to abide by Purdue's Individual Financial Conflicts of Interest (111.B.2), available at: <http://www.purdue.edu/policies/ethics/iib2.html>, and follow Purdue's FCOI disclosure and management processes.

Agree to implement the FDP Model Financial Conflict of Interest Policy, available at: http://sites.nationalacademies.org/PGA/fdp/PGA_061001

Section E - Federal Funding Accountability and Transparency Act (FFATA) Information

The names and total compensation of the five most compensated officers of the entity(ies) must be listed if -- (i) the entity in the preceding fiscal year received (I) 80 percent or more of its annual gross revenues in federal awards (federal contracts (and subcontracts), loans, grants, (and subgrants) and cooperative agreements); AND (II) \$25,000,000 or more in annual gross revenues from Federal awards; AND (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d) or section 6104 of the internal Revenue Code of 1986

Is subaward entity exempt from reporting executive compensation? If no, complete the information below.

| | <u>Officer Name</u> | <u>Officer Compensation</u> |
|----|---------------------|-----------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

SECTION F - COMMENTS

SECTION G - SIGNATURES

The information, certification, and representations above have been read, signed, and made by an authorized official of the subrecipient named herein.

Printed Name/Title:

Email:

Phone:

Signature of Authorized Official:

Date: