

MEMORANDUM

To: Board of Trustees
From: Steve Schultz, Legal Counsel
Cc: Mitch Daniels, President
Suresh Garimella, Executive Vice President for Research and Partnerships
Re: Updated Resolution Delegating Duties and Responsibilities Concerning Access to and Management, Handling and Protection of Federally-Classified Information
Date: July 9, 2014

Purpose. The purpose of this memo is to request the Board's approval of the resolution set forth below, which updates a resolution previously adopted at the Board's February 2011 stated meeting.

Background. As former Vice President for Research Richard Buckius explained at the time the prior resolution was adopted in 2011:

“Purdue has a long and productive history of conducting research to enhance national security. A small but significant fraction of this research has involved investigator access to classified information. To access classified information, Purdue must hold a Facility Security Clearance (FSC). Purdue held such a Clearance until June 1999. This FSC was administratively terminated when the last project requiring access to classified information ended. Purdue investigators again have opportunities to conduct research involving classified information. To reinstate the FSC, the Board must establish a Senior Management Group (SMG) to oversee the University's management of classified information. The attached resolution [establishes] the required SMG.”

Based on the approval granted in the prior resolution, Purdue subsequently completed the steps necessary to obtain a facility security clearance at the “Secret” level, which was issued by the U.S. Department of Defense on April 15, 2014. These actions included entering into a Security Agreement with the federal government, pursuant to which Purdue must maintain a system of security controls within the organization in accordance with federal regulatory requirements.

The members of the SMG identified in 2011 included Purdue's “Vice President for Research.” In light of the recent establishment of the office of “Executive Vice President for Research and Partnerships” as a result of the vacancy created by the departure of Vice President Buckius, we are requesting that the Board update the prior resolution to clarify the membership of the SMG. The following resolution would accomplish this task:

* * *

WHEREAS, by a resolution adopted at a stated meeting held on February 4, 2011, the Board of Trustees established a "Senior Managerial Group," to which it delegated all authority and responsibility of the Board pertaining to access to, and management, handling and protection of, any and all classified information, as defined or specified in the "National Industrial Security Program Operating Manual" issued by the Defense Security Service of the U.S. Department of Defense (the "Prior Resolution"); and

WHEREAS, the establishment of the new position of Purdue's "Executive Vice President for Research and Partnerships," which replaces the former position of Purdue's "Vice President for Research," has created the need to update the description of the official positions constituting the Senior Managerial Group:

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees as follows:

1. The Prior Resolution is hereby reaffirmed, but with section 2 thereof being hereby amended and restated to read in its entirety as follows:

"2. The individuals now, or at any time hereafter, serving in the following official capacities within the Corporation and/or the Institution, or in such successor positions as are the functional equivalent of these capacities as a result of organizational changes approved by the Board from time to time, are hereby designated as members, during their tenure in such capacities, of a group (the "Senior Managerial Group") to which is hereby delegated all authority and responsibility of the Board pertaining to access to, and management, handling, and protection of, any and all such classified information, as defined or specified in the "National Industrial Security Program Operating Manual" issued by said Defense Security Service, or in other government documents now or hereafter applicable or in force:

SENIOR MANAGERIAL GROUP

<u>TITLE</u>	<u>PRESENT INCUMBENT</u>
Designated Trustee	Thomas E. Spurgeon
President	Mitchell E. Daniels, Jr.
Treasurer or Designee	William E. Sullivan
Executive Vice President for Research and Partnerships	Suresh V. Garimella
Facility Security Officer	Mary Duarte Millsaps"

2. The Prior Resolution is hereby further amended to replace all references contained therein to the title "Vice President for Research" with the title "Executive Vice President for Research and Partnerships."

3. The Secretary of the Trustees of Purdue University is hereby authorized to furnish copies of this resolution, together with the Prior Resolution, to the Cognizant Security Office processing that facility security clearance for the Institution, and the Cognizant Security Office will be notified prior to any change in conditions which would require the officials to have access to the classified information. Capitalized terms used but not defined in this resolution have the meanings ascribed to them in the Prior Resolution.