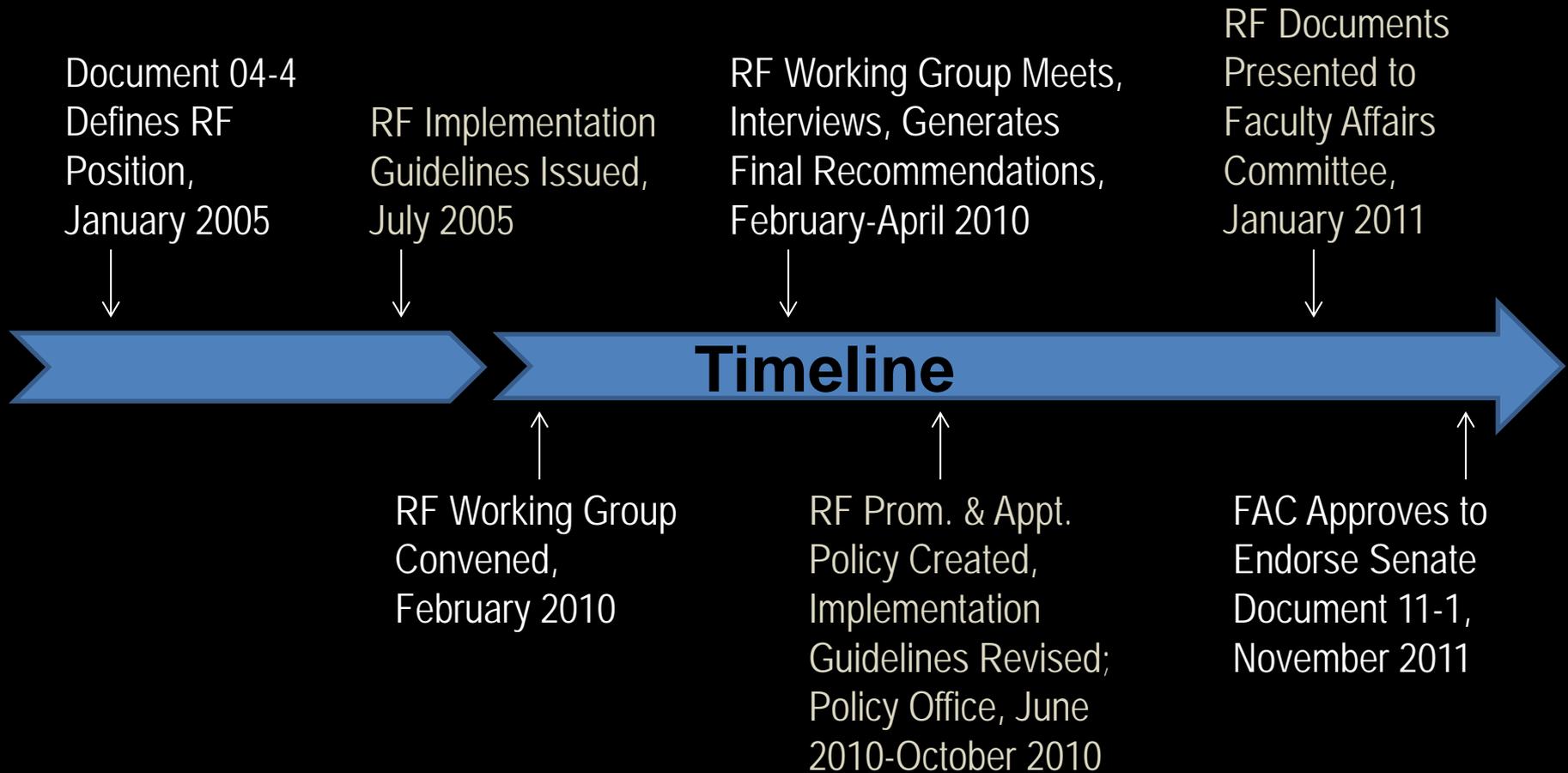


Research Faculty Purdue University 2005-2011

November 21, 2011

Richard O. Buckius, Vice President for Research
Marietta Harrison, Associate Vice President for Research

Research (RF) Faculty Timeline



Research Faculty Working Group

Alan Beck	Dorothy N. McAllister Prof., Comparative Pathobiology
Daniela Bortoletto	Distinguished Professor, Physics
Bernard Engel	Head and Professor, Ag. & Biol. Engineering
Cherise Hall	Business Office , Ag.
Marietta Harrison	AVPR and Professor, Working Group Chair
Mark Lundstrom	Distinguished Professor, Elec. & Comp. Engineering
Sanjay Mathur	Research Professor, Mech. Engineering
Carol Post	Professor, Med. Chem. & Mol. Pharm.
John Sullivan	Professor, Aero. & Astro. Engineering
Elizabeth Taparowsky	Professor, Biological Sciences
Dorothy Teegarden	Professor, Foods and Nutrition
Ramesh Vemulapalli	Associate Professor, Comparative Pathobiology
Howard Weiss	Professor, Psychology

Review Research Faculty Policies

The Process

Research Faculty Working Group

- Interviewed
 - Heads of Departments with Research Faculty
 - Center Directors with Research Faculty
 - Associate Dean, Graduate School
 - Research Faculty
- Recommended
 - Use unrestricted university funds for partial RF support (major change in Senate Document 04-4)
 - An option for RF appointed in non-academic units to serve as major professors for graduate students

Review Research Faculty Policies

The Process

Faculty Affairs Committee University Senate

- Discussed with M. Harrison (1/2011-11/2011)
 - New Research Faculty Policy
 - Revised Implementation Guidelines for Research Faculty
- Recommended
 - One major change (university funds restricted to 25% of annual compensation)
 - Several minor changes
- Approved
 - To endorse Senate Document 11-1

Senate Document 11-1

CURRENT

(Senate Document 04-4)

Research Faculty will be supported only from extramural funds.

PROPOSED

(Research Faculty Policy 2011)

Research Faculty positions must be primarily supported from extramural funds. It is recognized that certain effort (e.g., proposal writing, serving on graduate student advisory committees, and other activities not related to the objectives of funded research projects) may not be compensated by federal funds. Therefore, at the discretion of the appointing unit and subject to the availability of funds, alternative sources to federal/extramural funding (e.g., gift funds and general funds), may be appropriated by the appointing unit for partial support, not to exceed 25% of the total annual compensation of each Research Faculty position.

Senate Document 11-1

CURRENT

(Senate Document 04-4)

No provision for Research Faculty not appointed to academic units (e.g., interdisciplinary centers) to serve as major professors of graduate students.

PROPOSED

(Research Faculty Policy 2011)

Non-academic units (e.g., interdisciplinary centers) may seek to establish a departmental affiliation for Research Faculty appointed in their center. Departments must approve any affiliation and bear no financial responsibility for affiliated Research Faculty. Research Faculty affiliated with an academic unit may serve as major professors of graduate students in the department, subject to the policies and procedures of the affiliating department and the Graduate School.

Questions?

Research Faculty Profile: November 2011

West Lafayette Campus (no Research Faculty on regional campuses)

Tenure-track/tenured Faculty (2010-2011): 1,888

Research Faculty: 35

Research Assistant Professor: 27 77%

Research Associate Professor: 5 14%

Research Professor: 3 9%

College of Agriculture (9)

Agricultural & Bio. Engineering 1

Agricultural Economics 2

Animal Science 1

Biochemistry 1

Entomology 2

Food Science 2

College of Engineering (9)

Aeronautics & Astronautics 1

Electrical & Computing 1

Mechanical 3

Nuclear 4

College of Health & Human Sciences (2)

Foods and Nutrition 1

Psychological Sciences 1

College of Pharmacy (1)

Pharmacy Practice 1

College of Science (8)

Biological Sciences 1

Computer Science 2

Earth & Atmospheric Sciences 1

Physics 2

Center for Education & Research

Information Assurance & Security

(CERIAS) 2

College of Veterinary Medicine (3)

Comparative Pathobiology 2

Center for Paralysis Research 1

Discovery Park Centers (3)

Bindley Bioscience Center 1

Birck Nanotechnology Center 1

Network for Computational

Nanotechnology (NCN) 1

Other Changes to RF Documents

- Summer Support
 - No change in policy or procedures
 - Additional wording: “The summer salary for Research Faculty on academic year appointments funded by the NSF cannot exceed two-ninths of the Research Faculty member’s regular academic year salary. This includes summer salary received from all NSF-funded grants.”

Other Changes to RF Documents

- Summer Support
 - No change in policy or procedures
 - Additional wording: “Any Research Faculty member supported entirely from external sources for 12 summer weeks must be able to certify that 100 percent of his or her effort was expended on the sponsored project for the entire period. The Research Faculty member may not participate in any proposal writing, committee work or any other activity not related to the sponsored project without either an internal source of salary funds for that effort or a reduction in the appointment period to a lesser number of weeks.”

Other Changes to RF Documents

- Teaching
 - No changes to policy or procedures
 - Additional wording: “Although Research Faculty do not have regular teaching duties, it is recognized that instances may occur when it is advantageous to expose students to a specialized expertise. In cases where a Research Faculty member is considered for a limited teaching assignment, a separate part-time teaching appointment is required. Such part-time teaching appointments must be prior approved by the Provost and Vice President for Research.”

Other Changes to RF Documents

- Eligibility to Compete for Internal Funding
 - Original policy did not address
 - New Policy: “Research faculty are eligible to compete for funding from competitive trust programs and research awards administered by the Office of the Vice President of Research. Eligibility to compete for other internal funding and college/school based research awards is determined by the individual college/school/center policies.”

Proposed OVPR Appointment Form

Office of the Vice President for Research
Research Faculty Appointment Form

Candidate Name (Last) _____ (First) _____

Department/Center Name _____ Appointment Period _____

College/School/Discovery Park _____

After verification, please check the boxes below:

Research faculty hires follow the normal search and screen process that are in place for tenure/tenure track faculty hires. (as per Research Faculty Implementation Guidelines dated 7/25/05.) If another review process was used, attach separate sheet describing review process and list participants name, title and department.

The use of general funds ~ 25% for salary is consistent with Research Faculty Policy and does not constitute a conflict of interest for the appointing unit.

Note: Research Faculty supported 100% on sponsored awards must devote 100% of their effort to the sponsored project.

Participation in related activities such as proposal writing and serving on graduate student committees requires that a certain proportion of salary support be from non-sponsored sources. For more information please refer to the University Policy U.S. 1 on Effort Reporting (<http://www.purdue.edu/policies/page.do?hance:inances.html>)

Fund/Cost Center/Order _____	Person _____	Sponsored, Gift or General Funds _____
Fund/Cost Center/Order _____	Person _____	Sponsored, Gift or General Funds _____
Fund/Cost Center/Order _____	Person _____	Sponsored, Gift or General Funds _____

Signatures of Approval

Business Manager Signature / Date

Department Head Signature / Date

Dean Signature / Date

attach form to O/E Search Mailer - if no Search Mailer email form to Shelley Burton: sburton@purdue.edu 40-02614

Proposed OVPR Appointment Form

After verification, please check the boxes below:

Research faculty hires follow the normal search and screen process that are in place for tenure/tenure track faculty hires. (as per *Research Faculty Implementation Guidelines* dated 7/25/05.) If another review process was used, attach separate sheet describing review process and list participants name, title and department.

The use of general funds < 25% for salary is consistent with Research Faculty Policy and does not constitute a conflict of interest for the appointing unit.

Note: Research Faculty supported 100% on sponsored awards must devote 100% of their effort to the sponsored project(s). Participation in related activities such as proposal writing and serving on graduate student committees requires that a certain proportion of salary support be from non-sponsored sources. For more information please refer to the University Policy II.5.1 on Effort Reporting (<http://www.purdue.edu/policies/pages/finances/finances.html>)

Frequently Asked Questions

Frequently Asked Questions

Who is eligible to appoint Research Faculty?

Academic units, university approved college/school-based centers, university approved centers reporting to the Office of the Vice President for Research or Vice Chancellor at regional campuses, who have obtained Authorization to Establish Research Faculty Positions.

Do research faculty have to be appointed for 3 years?

No. Three (3) years is the maximum length of the contract period. Research Faculty can be appointed on a yearly basis or for any time interval up to 3 years. Research faculty appointed for less than one semester are not eligible for benefits.

Frequently Asked Questions

What are the differences between research faculty and research scientists/associates?

The Research Faculty position is distinguished from the Research Scientist/Research Associate position by being a professorial level position with an expectation of scientific independence and national and international distinction. Whereas a Research Scientist/Research Associate is expected to make significant and innovative contributions to a research project, activity or facility, Research Faculty are expected to lead such projects and activities.

Frequently Asked Questions

How is independence defined for Research Faculty?

Independence can be demonstrated in a variety of ways that may include, but is not limited to, serving as the Principal Investigator of externally funded research projects, serving as the Principal Investigator of sub-projects on large-scale interdisciplinary projects/centers, mentoring of postdoctoral research fellows and graduate students, and freedom to publish independently of senior faculty members.

Frequently Asked Questions

For Research Faculty appointed in non-degree granting units (e.g., Discovery Park Centers), what does a departmental affiliation mean and how is it obtained?

It may be desirable for RF appointed in non-degree granting units such as Discovery Park Centers to be affiliated with an academic unit(s). Affiliation with an academic department(s) provides access to departmental graduate students and the ability to serve as the major professor to those graduate students consistent with the departmental policies for tenure-track and tenured faculty. RF who do not have academic affiliations can serve on graduate advisory committees, and co-mentor graduate students, but may not serve as sole supervisors for graduate students.

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Frequently Asked Questions

Seeking an affiliation with an academic unit is the responsibility of the center appointing the RF member. It is desirable that the department be involved in the review and selection of the RF candidate and that the departmental affiliation is established at the time of the initial appointment. The academic unit will determine whether or not an affiliation is granted. RF appointed in a non-degree granting unit and affiliated with a degree-granting department will be classified as RF Center/Department.

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Frequently Asked Questions

Fiscal responsibility for RF appointed in non-degree granting units and affiliated with academic units resides in the appointing unit. Departments have no fiscal responsibilities for RF affiliated with, but not appointed in the department.

Departments may wish to establish policies for individuals holding a RF Center/Department classification. Such policies must be consistent with the University RF Appointment and Promotion Policies document.

Frequently Asked Questions

Are Research Faculty allowed to teach?

Yes. Although Research Faculty do not have regular teaching duties and teaching is not a merit criterion for promotion, it is recognized that instances may occur when temporary, specialized teaching responsibilities are desirable to expose students, especially graduate students to specialized, technical expertise. In these instances, it may be appropriate for Research Faculty to offer limited, specialized mini-courses, workshops or a limited lecture/laboratory series. In cases where a Research Faculty member is considered for a limited teaching assignment, a separate part-time teaching appointment is required. Such part-time teaching appointments must be prior approved by the Vice President for Research and the Provost.

Frequently Asked Questions

Are Research Faculty required to be exclusively supported by extramural funds?

No. While it is necessary for Research Faculty to be supported primarily on extramural funds to fulfill the mission of advancing the research enterprise at Purdue University, it is recognized that proposal writing, graduates student committee service and related activities are not an allowed effort on extramural funds supporting specific projects. It is also recognized that Research Faculty may direct specialized research core facilities that may contribute partial salary support. Therefore appointing units may at their discretion provide partial salary support, not to exceed 25% of the total annual compensation, for each Research Faculty position from unrestricted internal sources including gift funds, general funds, and research core facilities.

Frequently Asked Questions

What are part-time appointments?

Part-time appointments are defined as less than 100% CUL. Research Faculty may be initially appointed as part-time or a part-time appointment may result from a reduction in funding of a full time appointment. Research Faculty may not simultaneously hold tenured or tenure-track appointments. Research Faculty holding part-time appointments are subject to the same standards for promotion in rank as Research Faculty holding full time appointments.

Frequently Asked Questions

What internal funding programs are available for Research Faculty?

Research Faculty are eligible to apply for competitive grants from trusts and research awards administered by the Office of the Vice President for Research (e.g., the Clifford B. Kinley Trust, Ralph W. Grace M. Showalter Research Trust, the Herbert Newby McCoy Award). Their eligibility to apply for other internal funding (e.g., PRF grants) and unit specific awards is determined by the policies established by the college/school/center in which they hold their appointment.