

**DISCLOSURE OF
RELATIONSHIPS WITH OUTSIDE ORGANIZATIONS**

FORM 35

NAME: _____

TITLE: _____

DEPARTMENT: _____

DATE: _____

EXTERNAL ACTIVITY REPORTED ON CORRESPONDING FORM 32A:

The following Disclosure Form is designed to accompany Purdue University's Policy on Conflicts on Interest and Commitment. Before completing this form, please read the accompanying policy statement carefully, in particular the sections on Types of Conflicts and operating definitions of the capitalized terms. Note that Form 35 asks for information on activities that may be allowable only after disclosure, review, and approval. Please answer all questions, indicating "none" where appropriate. You may append additional information on a separate sheet.

I. RESEARCH ACTIVITIES

A. Do you currently, or do you expect during the next twelve months to receive Sponsored Research from a Business in which you, a member of your Family, or an Associated Entity holds stock or similar ownership interest? Yes ___ No ___

If yes, please provide the following information.

Business providing sponsored research support: _____

Description of research and relevant dates: _____

Research Support (in dollars or kind): _____

Party holding equity or similar ownership interest: _____

Extent of ownership: _____

Your relationship to party with Financial Interest: _____

B. Do you currently, or do you expect during the next twelve months to Participate* in any research activity on a University Technology assigned to or contractually obligated to a Business in which you, a member of your Family, or an Associated Entity has a Financial Interest,*** other than receipt of royalty income through the University? Yes ___ No ___**

If yes, please provide the following information.

Description of Technology: _____

Business to which the Technology is obligated: _____

Party with Financial Interest: _____

Your relationship to party with Financial Interest: _____

Nature and extent of Financial Interest: _____

* Principal investigator, researcher, supervisor, advisor, technician, other (please specify).

** By license or exercise of an option to license.

*** For example: equity, consulting income, salary for Board compensations, memberships, etc.

C. Do you currently, or do you expect during the next twelve months to Participate* in research related to a Technology which you are a member of your Family developed outside of the University? Yes ___ No ___

If yes, please provide the following information.

Technology: _____

Your relationship to the Inventor(s): _____

Licensee, if any, of the Technology: _____

*Principal investigator, co-investigator, researcher, supervisor, advisor, technician, other(please specify)

D. Do you currently, or do you expect in the next twelve months to assign any students, postdoctoral fellows or other trainees to projects sponsored by a Business in which you, a member of your Family, or an

Associated Entity has a Financial Interest? Yes ___ No ___

If yes, please provide the following information.

Name of students, fellows, and other trainees: _____

Name of Business providing research support: _____

Description of research and relevant dates: _____

Party with Financial Interest: _____

Your relationship to party with Financial Interest: _____

Nature** and extent of Financial Interest: _____

**For example: equity, consulting income, salary, etc.

II. CONSULTING ACTIVITIES

Do you currently consult for or receive any form of compensation pertaining to services or contracts between any Business and the University? Yes ____ No ____

If yes, please provide the following information.

Name of Business: _____

For profit or not-for-profit: _____

Your titles / duties: _____

Nature of Contract or Services between the Business and University: _____

Estimated* annual compensation: _____

*If compensation is not received in dollars, but rather in some other form such as equipment, stock, stock options, or any similar ownership interest, please indicate and include as estimated dollar-range value of such compensation and the basis for such valuation.

III. REFERRAL ACTIVITIES

As a faculty or staff member, are you in a position to make referrals of University activities to any Business in which you, a member of your Family, or an Associated Entity has a Financial Interest? Yes ____ No ____

If yes, please provide the following information.

Name of Business: _____

Description of the Business** _____

For-Profit or not-for-profit: _____

Party with Financial Interest: _____

Your relationship to party with Financial Interest: _____

Nature and extent of Financial Interest: _____

** For example: consulting business, testing facility, etc.

IV. BOARD AND COMMITTEE MEMBERSHIPS

A. Do you serve as a member of a board of an organization and engage in decision making which has an impact* on the University? Yes No

If yes, please provide the following information.

Name of Organization: _____

For-profit or Not-for-profit: _____

Scientific advisory board: yes no Board of Directors: yes no

Other responsibilities (please specify): _____

Do you or a Family member or an Associated Entity receive research support, whether in dollars or in kind, from any of the Organizations listed above? Yes No

If yes, please list and explain. _____

*Example of Impact: decisions which may affect contractual relationships, resource appropriations, and University policy.

B. Do you currently, or do you expect during the next twelve months to serve on a committee of any governmental agency or industrial trade, AND do you, a Family member, or an Associated Entity have a Financial Interest in any Business which operates in the industry subject to review by this committee ?

Yes No

If yes, please provide the following information.

Name of Agency: _____

Name of Committee: _____ Date of Term: _____

Committee's role / activity: _____

Business(es) in which you or a Family member have a Financial Interest: _____

Party with Financial Interest: _____

Your relationship to party with Financial Interest: _____

Nature and extent of Financial Interest: _____

V. PUBLIC DISCLOSURE

If you have or plan to publish or formally present research results, or provide expert commentary on a subject which covers intellectual property or products developed by you, you are encouraged to simultaneously disclose any financial interest relating to such results or such subject. If you have not or do not plan to disclose this financial interest, then you must provide the following information:

1) Describe subject technology _____

2) Financial interest in subject technology _____

3) Date and title of presentation or publication _____

VI. GENERAL

Are there any other related matters of which you wish to make the University aware? Yes ____ No ____

Please explain: _____

I have answered this Questionnaire to the best of my ability and will promptly file an update in the event of changes.

Signature _____

Date _____

Print Name _____